

**SPETM07**

**LIGO-T990178-00-D**

**SUBSTRATE**



**GENERAL OPTICS, INC.**

**PRECISION OPTICAL COMPONENTS**

554 FLINN AVENUE

MOORPARK, CALIFORNIA 93021

(805) 529-3324

FAX (805) 529-4298

**CERTIFICATE OF COMPLIANCE**

Date: 10-30-97

To: Cal Tech

Purchase Order Number: PC203459

Part Number & Revision: D960791-A-D (w/Spec. #E950104-A-D)

Part Description: Ligo End Test Mass Substrate

Serial Numbers: SP ETM 07A

We certify that the above part was manufactured in compliance with all applicable requirements and specifications of the above purchase order and drawings except as noted below.

“Scratches” and “Point Defects” for the entire side 1 and side 2 surfaces were inspected using an high intensity white light source delivered perpendicular the surface. This was substituted for the method prescribed in specification E950104-A-D.

GENERAL OPTICS, INC.

By: \_\_\_\_\_

Ligo Test Mass  
 Drawing Number D960791-A-D  
 Specification Number E950104-A-D  
 Serial Number SP ETM 07A

Final Inspection Report  
 Customer Supplied Serial Number EE11  
 Prefabricated and Polished Material

13 *HB*

October 30, 1997

Feature	Requirement	Measured
<b><u>Side 1</u></b>		
Spherical Measurement	<1/20 <sup>th</sup> Wave Concave	.018 $\lambda$ P-V
Radius of Curvature	7400 M. $\pm$ 220 M.	7571.70 M.
Micro Roughness (rms)	< 1 $\text{\AA}$ rms	.29 $\text{\AA}$ rms
Surface Quality	< 10 Defects Entire Surface	Verified
<b><u>Side 2</u></b>		
Flatness	< 1/10 $\lambda$ P-V	.047 $\lambda$ P-V
Micro Roughness (rms)	< 1 $\text{\AA}$ rms	.31 $\text{\AA}$ rms
Surface Quality	< 10 Defects Entire Surface	Verified
<b><u>Substrate Features</u></b>		
Diameter of -A-	250 mm +1 mm / -0 mm	250.27 mm
Thickness (to sharp corner)	100 mm +0 mm / -.5mm	100.02 mm
Wedge (Surface 2)	2 $^{\circ}$ $\pm$ 5'	1 $^{\circ}$ 59'
Chamfers, After Polish, Surface 1	2 mm $\pm$ 0.3 mm (2 pls)	1.93 mm
Chamfers, After Polish, Surface 2	2 mm $\pm$ 0.3 mm (2 pls)	2.11 mm

A. DCN: LIGO-T970021-00-D

## LIGO DETECTOR OPTICS

Page 1 of 3

B. LIGO S/N: SPETMØ7-A

## Incoming Inspection Check-off Sheet

## Core Optics Polished Substrate

The purpose of this sheet is to verify material physical dimensions, perform visual and microscopic inspection, and to facilitate material traceability of LIGO Detector optics. This sheet is to be included in the LIGO Quality Assurance traceability file. Complete a check-off sheet for each optic blank received and inspected.

C. LIGO Contract/Purchase No.: PC203459

D. Substrate Polisher: General Optics

E. Core optic Material: BS / FM / 2ITM / 4ITM (ETM) / RM

F. Date Received: 10-31-97

G  Verify glass polisher's Certification with LIGO Component Specification No. E950104-A-D.  
Attach the completed LIGO Component Specification Verification Sheet.

H  Attach a copy of the glass polisher's Certification Document and data sheet to check-off sheet.

I  Verify receipt of an IBM PC compatible <sup>tape</sup> ~~disk~~ in ASCII format of all Surface Data per the applicable LIGO Component Specification sheet ~~format conversion required to read tape~~.

J  Attach the surface maps supplied by vendor per above Component Specifications to the check off sheet.

K  Visually inspect for shipping container damage. If applicable, describe damage on attached sheet and notify the Cognizant Engineer

L  Visually inspect the polished substrate for shipping damage, for chips on surfaces and edges, or for other defects. If applicable, describe damage/defects on attached sheet and notify Cognizant Engineer.

M  Verify polished substrate's physical dimensions per applicable LIGO drawing.

Inspection of material diameter. Diameter 9.85 in 250.27 mm

Inspection of material thickness. Thickness 3.94 in 100.02 mm

N  Verify that the Serial Number is present in the proper format as required by LIGO Component Specification.

O  Verify that the Registration Mark (line with arrow pointing toward surface #1) is present as required by LIGO Component Specification.

P  Inspect the sides and bevels with the naked eye in normal room light and against a black background to verify that there is no gray, scuffs or scratches per the applicable LIGO Component Specification.

Q  Use a dark field microscope at 5X magnification to inspect the polished optic for scratches and defects over the central 80 mm diameter per the applicable LIGO Component Specification.

R  Sign and date original packing slip (shipper) and distribute per paragraph 3.R.

Inspection By: *[Signature]* Date Inspected: 10-31-97

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Reviewed and/or accepted by:

Cognizant Engineer: \_\_\_\_\_ Date: \_\_\_\_\_

LIGO QA Officer or Designee: \_\_\_\_\_ Date: \_\_\_\_\_

FM300

**Figure 1**

**LIGO DETECTOR OPTICS**  
**Incoming Inspection Check-off Sheet**

**Core Optics Polished Substrate**

**COMMENTS/DISCREPANCIES:** (Disposition damage/discrepancies per LIGO Quality Assurance Plan (LIGO M960076-00-P) paragraphs 5.12 and 5.12.1.) \_\_\_\_\_

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**SKETCHES:**

**DISPOSITIONS:** \_\_\_\_\_

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**Figure 2**

<b>Substrate, SP End Test Mass</b>	<b>Serial Number:</b> SPETMØ7-A		<b>Specification</b>	<b>Reported Value</b>	✓
	<b>Surface 1</b>	<b>Spherical Measurement</b>	< 1/20 wave (13.64nm)	0.018λ P-V (11.39 nm)	✓
		<b>Absolute Radius of Curvature Tolerance</b>	7,400m + 220m - 220m	7,571.7m	✓
		<b>Astigmatism</b>	< 10nm p-v		
	<b>Surface 2</b>	<b>Spherical Measurement</b>	< 1/10 wave (63.28nm)	0.047 P-V (29.74 nm)	✓
		<b>Radius of Curvature</b>	> 80 Km		
		<b>Astigmatism</b>	< 64nm p-v		
	<b>Physical Dimensions</b>	<b>Substrate Diameter</b> <b>Substrate Thickness</b> <b>Wedge Angle, Surface 2</b>	250mm +1mm/-0mm 100mm +0/- .5mm 2° ± 5'	250.27 mm 100.02 mm 1°59'	✓ ✓ ✓
	<b>Physical Dimensions</b>	<b>Chamfers, After Polish</b>			
		<b>Side 1</b> <b>Side 2</b>	2mm ±0.3mm 2mm ±0.3mm	1.93 mm 2.11 mm	✓ ✓
<b>Surface Error</b>	<b>High Spatial Frequency Band</b> <b>Central 80 &amp; 200 mm</b>	$\sigma_{rms} < 0.1nm$			

<b>Scratches, Point Defects &amp; Polish</b> Side 1	<b>Specification</b>		<b>Certification</b>	✓
	<b>Scratches</b>	The Total Area of scratches within the central 80mm diameter shall not exceed 25 X 10 <sup>3</sup> square micrometers (width x length).	Hand Sketch w/dimensions	
		The total area of scratches outside the central 80 mm diameter shall not exceed 250 x 10 <sup>3</sup> square micrometers.	Hand Sketch w/dimensions	
	<b>Point Defects</b>	There shall be no more than 10 point defects within the central 80mm diameter.	Certified Only	✓
		There shall be no more than 100 point defects on the entire surface. Point defects of radius greater than 25 micrometers are treated like scratches for the purpose of this specification. Point defects of radius less than 2.5 micrometers are disregarded.	Hand Sketch w/dimensions	✓
<b>Side/Bevel Polish</b>	Sides and bevels shall be polished from a three micrometer grit finish. These surfaces shall appear transparent with no gray, scuffs or scratches visible to the naked eye when viewed in normal room light against a black background.	Inspection Report	✓	

**LIGO Component Specification Verification Sheet**  
**Super Polished End Test Mass**

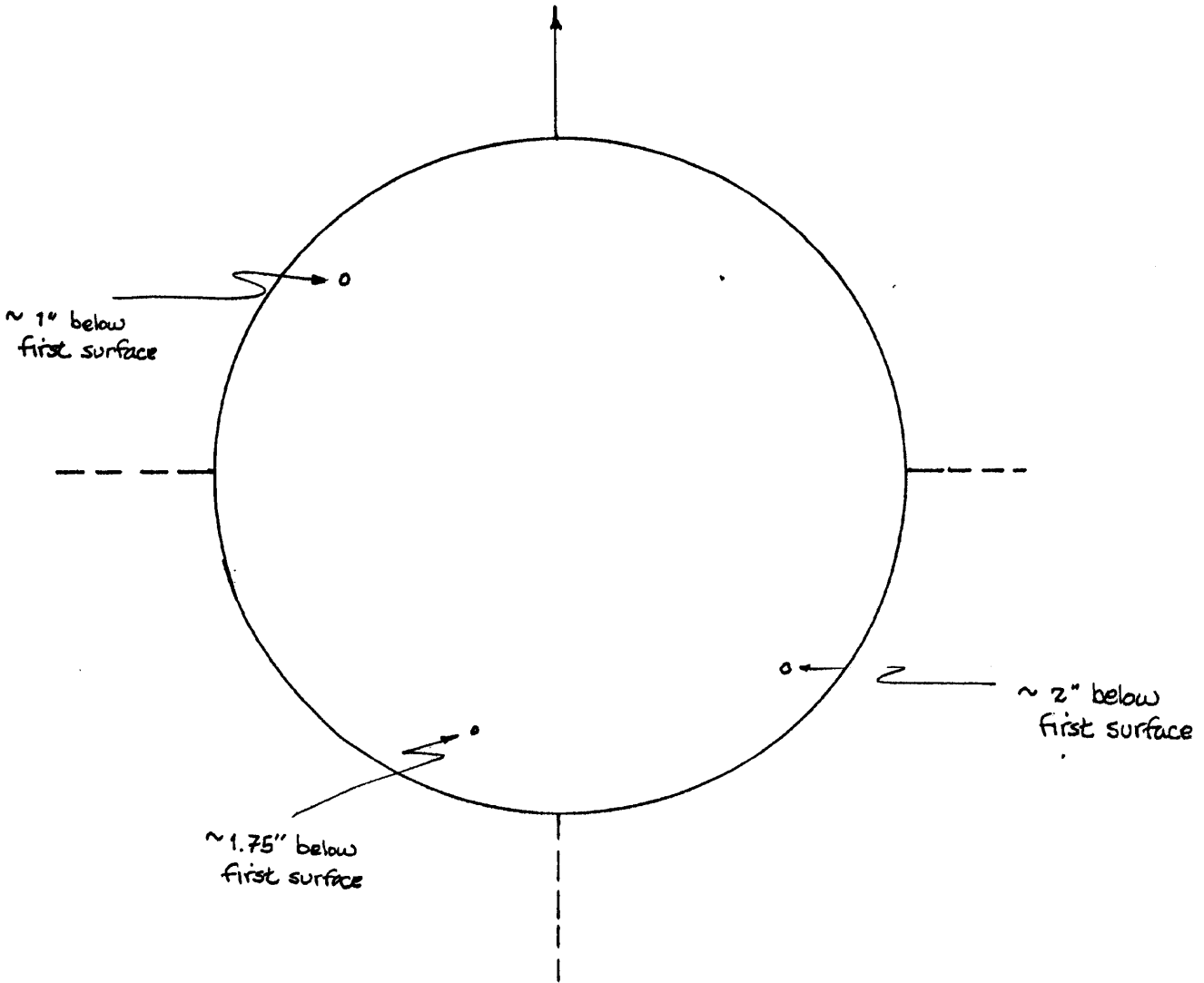


Scratches, Point Defects & Polish Side 2	Specification		Certification	✓
	Scratches	The total area of scratches shall not exceed $1 \times 10^6$ square micrometers over the central 235 mm.	Hand Sketch w/dimensions	
	Point Defects	There shall be no more than 100 point defects within the central 80mm diameter.	Hand Sketch w/dimensions	✓
		There shall be no more than 300 point defects on the entire optic. Point defects of radius greater than 25 micrometers are treated like scratches for the purpose of this specification. Point defects of radius less than 2.5 micrometers are disregarded.	Hand Sketch w/dimensions	✓
Side/Bevel Polish	Sides and bevels shall be polished from a three micrometer grit finish. These surfaces shall appear transparent with no gray, scuffs or scratches visible to the naked eye when viewed in normal room light against a black background.	Inspection Report	✓	

**LIGO Component Specification Verification Sheet  
Super Polished End Test Mass**

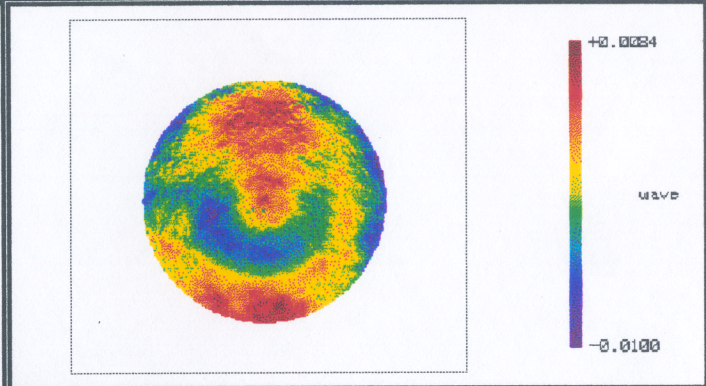
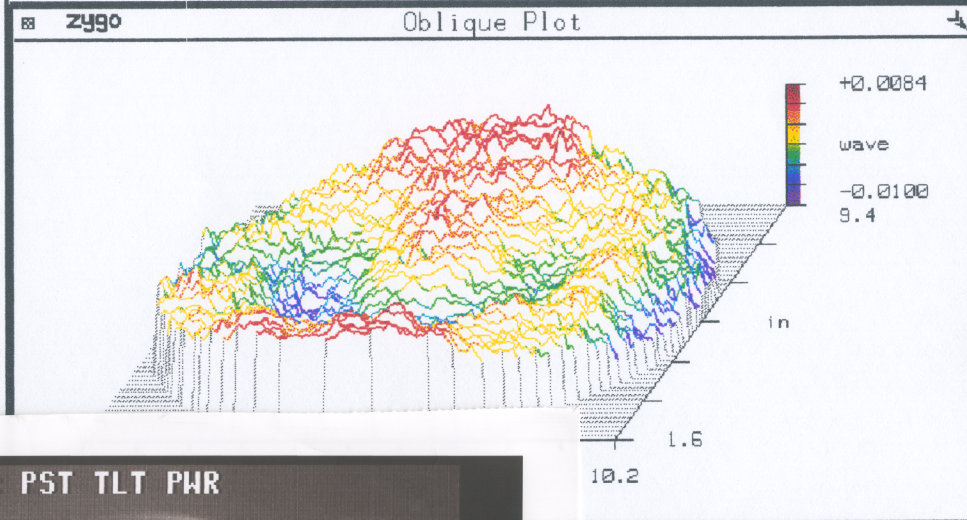
Serial # : SPETMØ7-A

Date: 11-03-97



Location of inclusions

Found no scratches



PV	0.018	wave	Solid Plot
rms	0.003	wave	3D Plot
Power	1.060	wave	

7.87	in	Removed: PST TLT PWR	Aperture(%dia.)
-1.06	wave	Points	20719

Removed: PST TLT PWR  
 PV  
 0.018  
 wave  
 RMS  
 0.003  
 wave  
 Pts.  
 20719  
 Power  
 1.060  
 General Optics, Inc.

Measurement Controls

Part Number: LIGO TEST MASS - SIDE 1

Serial Number: SP ETM 07A Min Mod Pct: 3

Inspector: GENE LAPP Intens Avgs: 4

Intf Scale Factor: 0.5 Phase Avgs: 4

Refractive Index: 1.500000 AGC: On Phase Res: Normal

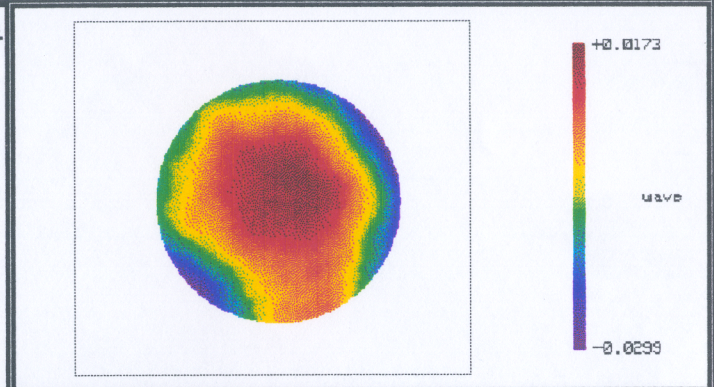
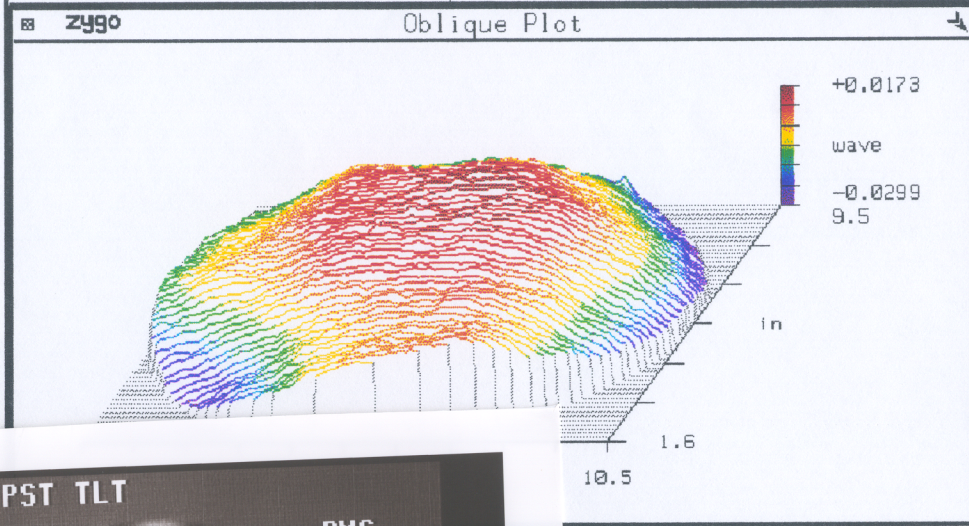
Time: Wed Oct 15 11:13:31 1997 AGC Mode: Normal Reflectivity

Instrument: Mark IVxp Id 0 SN 4532 SB 0 Phase Avg Pause: Off

Wavelength-In: 6328.0 A Wavelength-Out: 6328.0 A

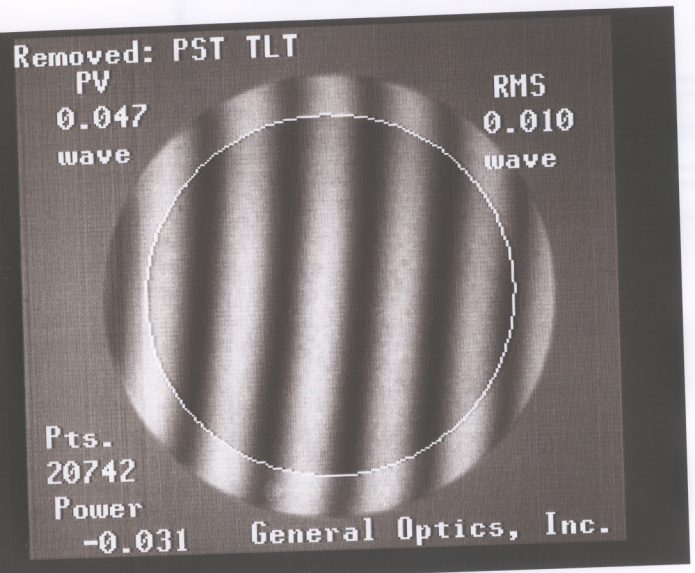
Subtract Sys Err: Off Sys Err File: SysErr.dat

Discon Action: Ignore Discon Filter: 50 Light Level: 38



PV	0.047	wave	Solid Plot
rms	0.010	wave	3D Plot
Power	-0.031	wave	

7.91	in	Removed: PST TLT	Aperture(%dia.)
0.03	wave	Points	20742



Measurement Controls

Part Number: LIGO TEST MASS - SIDE 2	
Serial Number: SP ETM 07A	Min Mod Pct: 3
Inspector: GENE LAPP	Intens Avgs: 4
Intf Scale Factor: 0.5	Phase Avgs: 4
Refractive Index: 1.500000	AGC: On Phase Res: Normal
Time: Wed Oct 15 11:29:28 1997	AGC Mode: Normal Reflectivity
Instrument: Mark IVxp Id 0 SN 4532 SB 0	Phase Avg Pause: Off
Wavelength-In: 6328.0 A	Wavelength-Out: 6328.0 A
Subtract Sys Err: Off	Sys Err File: SysErr.dat
Discon Action: Ignore	Discon Filter: 50 Light Level: 43

J-6108 LIGO TEST MASS SPETM07A S-1

Processed

RMS 0.29 angstroms

P-V 1.95

1024 points

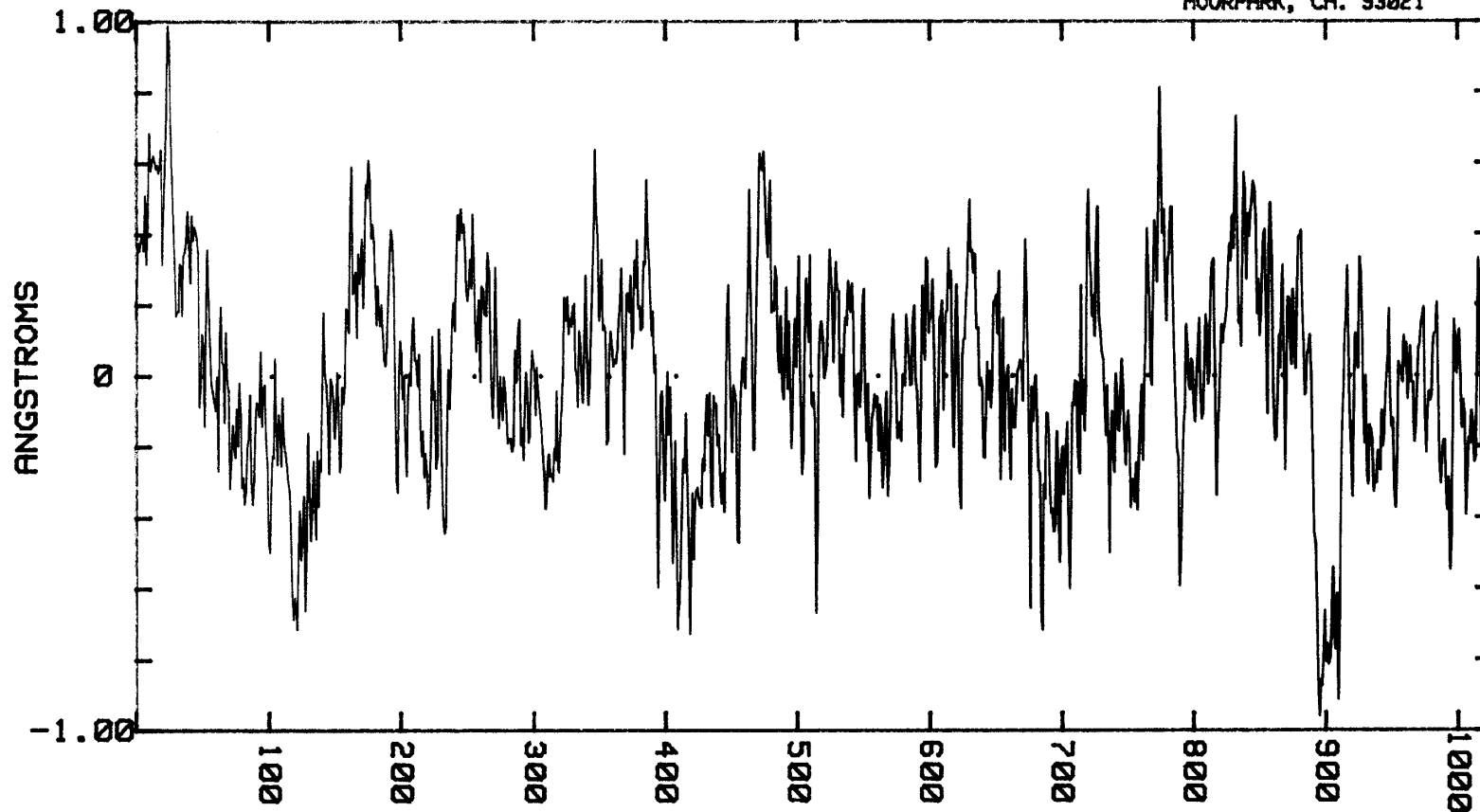
15 Oct 1997 17:14:13

GENERAL OPTICS, INC.

(805) 529-3324

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MOORPARK, CA. 93021



J-6108 LIGO TEST MASS SPETM07A S-2

Processed

RMS 0.31 angstroms

P-V 2.19

1024 points

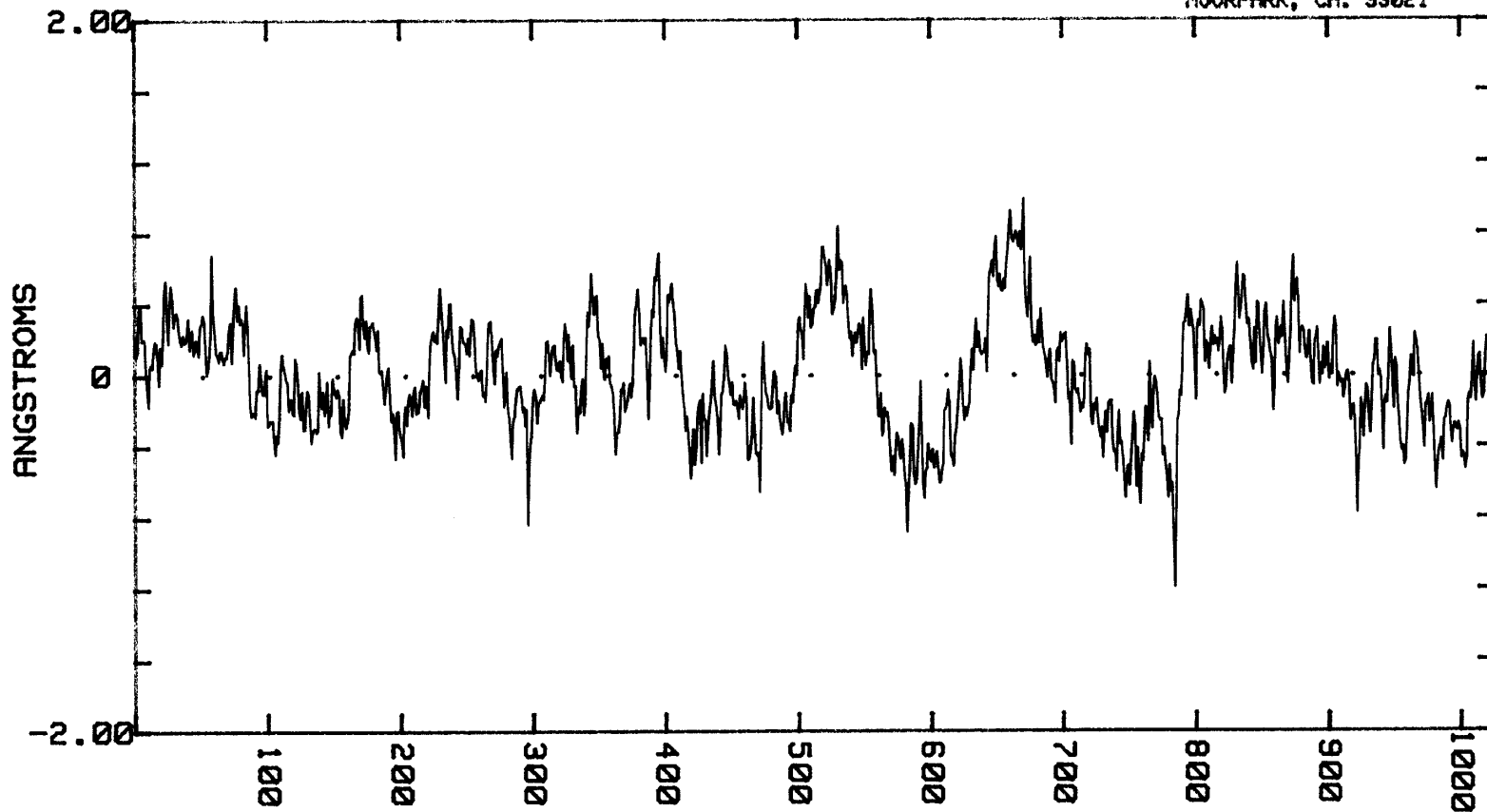
16 Oct 1997 08:51:25

GENERAL OPTICS, INC.

(805) 529-3324

554 FLINN AVENUE

MOORPARK, CA. 93021





# GENERAL OPTICS, INC.

554 Flinn Avenue  
Moorpark, CA 93021

INVOICE NO  
0000025316

Phone: (805) 529-3324

Fax: (805) 529-4298

Email: genoptics@iccas.com

Sales Order: 000003

Customer PO Number	Invoice Date	Terms	FOB	Ship Via
PC203459	10/31/1997	NET 30	MOORPARK	WILL CALL

Cust No: 000110

Buyer: TINA LOWENTHAL

Phone (818) 395-6278

Fax (818) 577-5693

**Bill to : CAL TECH**  
**ACCOUNTS PAYABLE 201-6**  
**PASADENA, CA 91125**  
**USA**

**Ship to : CAL TECH**  
**LIGO 75 AK**  
**391 SOUTH HOLLISTON AVENUE**  
**PASADENA, CA 91125**  
**USA**

Item	Cust #-Part No. / Description / Details	Quantity	Unit Price	Extended Price
000001	<p>POLISH LIGO TEST MASS per Specification E950104-A-D and per Drawing D960791-A-D.</p> <p>All work performed on a best-effort basis.</p> <p>S/N: SPETM07A</p> <p>Data Included with shipment: - Certificate of Compliance - Inspection Data Sheet - Interferograms, both sides - Profiler Readouts, both sides</p> <p style="text-align: center; font-size: 1.2em;">Rec'd 10-31-97 <i>[Signature]</i></p> <p>J-6108, 6118</p>	1 EA		

**MIRROR**





# Research Electro-Optics Inc.

## CERTIFICATE OF CONFORMANCE

Section 3.14/REO QC Manual, Q-001, Doc. No. V:QA:REO 014, Rev. "B", 09/13/96

**Certificate of Conformance from:** **Research Electro-Optics (REO) Inc.**  
1855 South 57th. Court  
Boulder, Colorado 80301  
(303) 938-1960, Fax (303) 447-3279

*Research Electro-Optics (REO), Inc.* hereby certifies that the items listed below have been inspected and tested to the extent necessary to conform with all the requirements of the noted Purchase Order, drawing, and applicable specification(s). Inspection and test data are on file at our facility and will be furnished to customer upon request.

• Date of Shipment	<u>06/21/99</u>	
• Customer Name	<u>California Inst. of Tech / PC162519/C0N05</u>	
Purchase Order No.		
• Customer Part	<u>LIGOE980068</u>	
Number & Revision		
• Part Description	<u>END TEST MASS, COATED</u>	
• REO Job No.	<u>OPT05831-28</u>	Run No: <u>OX987/OX991</u>
• Qty. Shipped/Lot No.	<u>2 PCS / M1001-01</u>	

**Test data (included)**

Comments:

SPETM05

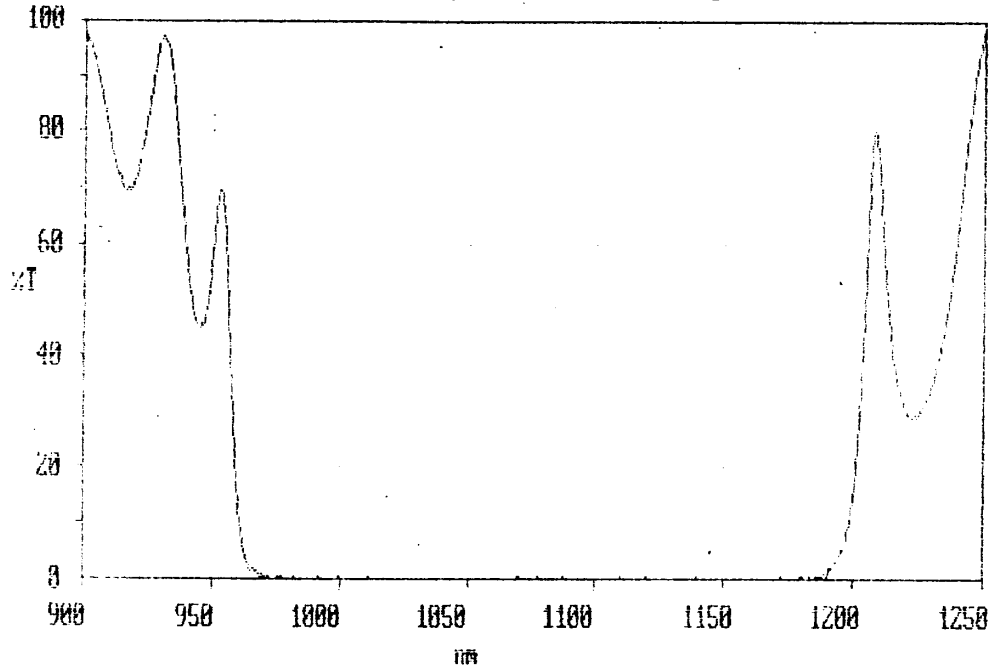
SPETM07

Certified by: Jesus Peinado 6/21/99 Date  
Quality Assurance

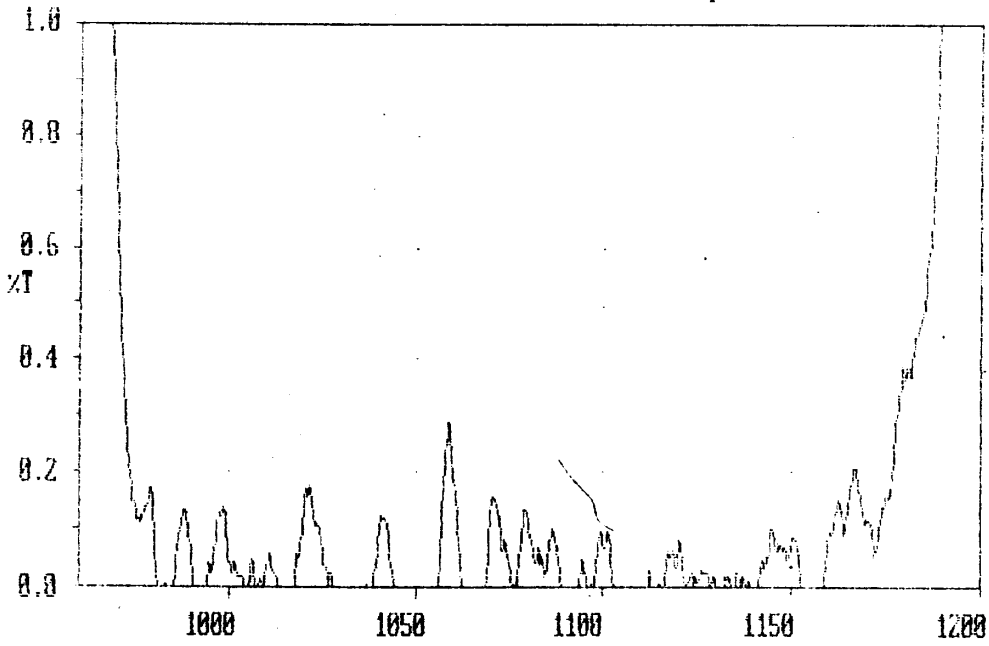
Verified by: Dale C. Hess 21 June 99 Date  
Engr/Tech

**NOTE**  
Certificate must accompany the package to be shipped or attached to the outside of the same box to which the "Packing Slip" envelope is attached.

X: user003: 1250.0 - 900.0 nm: pts 1751: int 0.20: ord -0.449 - 98.191 %T  
Inf: 0X987, HR @ 1064nm, SPETM05,07 baked, 1" FS witness piece



X: user003: 1250.0 - 900.0 nm: pts 1751: int 0.20: ord -0.217 - 97.268 %T  
Inf: 0X987, HR @ 1064nm, SPETM05,07 baked, 1" FS witness piece



$$\lambda_c = 1066 \text{ nm}$$

V: user002; 1100.0 - 1000.0 nm; pts 1001; int 0.10; ord -0.007 - 0.1762 %T  
Inf: OX991, AR @ 1064nm, SPETM05,07 baked, 1" fs witness

