

1 April 97

LIGO Science Mtg. Talk

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SCATTERED LIGHT AND ITS CONTROL IN THE LIGO BEAM TUBES*

References

- Born & Wolfe (diffraction)
- Beckmann & Spizzichino (scatter from rough surfaces)
- Stover (optical scattering)
- R. Weiss - numerous LIGO internal reports dealing with application to B.T.
- K. Thorne / G. Flannigan - same as above
- J.Y. Vinet - VIRGO Analyses

Outline

- noise equivalent strain
- dominant scattered light processes (LIGO BT)
 - offending paths - identification
 - paths & baffling
- time dependent phase shifts from vibrating surfaces
- Scattering & recombination from rough surfaces
 - BRDF
 - detailed balance
- combining effects (end-to-end)
- LIGO requirement
- LIGO design today - projected performance

* Similar issue for UE chambers, COS, LSC (P.D.)

Interferometer response to scattered light - noise equivalent strain

• GW



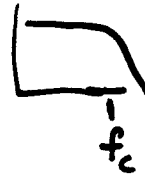
$$\vec{E}' = \vec{E}_0 + \delta \vec{E} = |\vec{E}| e^{i\delta\phi_{GW}}$$

$$\frac{\delta E}{|\vec{E}|} \sim i\delta\phi_{GW} \Rightarrow$$

$$\delta\phi_{GW} = \text{Im} \left\{ \frac{\delta \vec{E}}{|\vec{E}|} \right\} \quad (1)$$

$$\delta\phi_{GW} = \left| \frac{d\phi}{dh} \right| \delta h \quad (2)$$

↳ IFO response



$$\frac{d\phi(f)}{dh} = \frac{4\pi \tau_{ST} c}{\lambda [1 + (4\pi \tau_{ST} f)^2]^{1/2}}$$

τ_{ST} = Cavity storage time

$$\tau_{ST} = \frac{2L}{c} \left[\frac{1}{1-R} \right]$$

↑ $\frac{1}{FSR}$ ↑ Losses

$$N_B = \frac{1}{2\pi} \frac{1}{1-R} = \text{"# Bounces"}$$

$$\tau_{ST} = 2\pi N_B \tau_0 = \frac{1}{f_c} \cdot \frac{2\pi N_B}{f_0}$$

$$\left| \frac{d\phi}{dh} \right| = \underbrace{2hL N_B}_{\text{D.C.}} \frac{\underbrace{1}_{\text{A.C.}}}{[1 + (\frac{2\pi N_B f}{f_0})^2]^{1/2}}$$

$$\textcircled{1} + \textcircled{2} \quad \delta h = \frac{1}{\left| \frac{d\phi}{dh} \right|} \text{Im} \left\{ \frac{\delta \vec{E}}{|\vec{E}|} \right\}$$

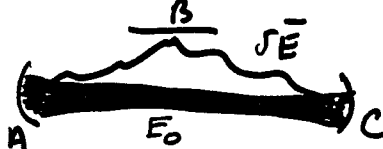
• Scattered light

- "EMI": $\underbrace{\text{imperf. optic}}_{\text{emittr}} \rightarrow \underbrace{\text{moving V.A.C. Envelope surfaces}}_{\text{path}} \rightarrow \underbrace{\text{imperf. optic}}_{\text{rcvr}} \Rightarrow \text{interference}$

- 3rd order process:

$$\frac{\delta P}{P_0} \sim \text{Prob}(A \rightarrow B) \text{Prob}(B \rightarrow C) \text{Prob}(C \rightarrow A) \quad \text{"A" = main beam}$$

- Processes
- A \rightarrow B
- C \rightarrow A



one time reversed pairs (antenna theorem, detailed balance)

- Scattered light also builds up resonantly by factor N_B

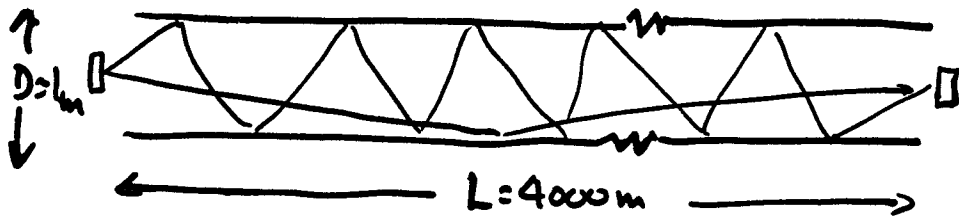
- determine $\delta \vec{E}_{\text{scatt}}^{\text{TOTAL}} \rightarrow \sum_{\text{paths}} \delta \vec{E}_i$; \propto weighted by probability

$$h_{\text{scatt}} = \frac{1}{\left| \frac{d\phi}{dh} \right|} \text{Im} \left[\frac{\delta \vec{E}}{|\vec{E}|} \right] \frac{1}{N_B}$$

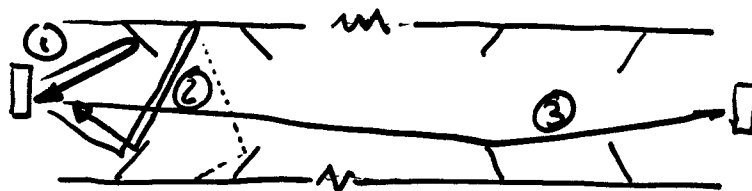
noise equivalent strain

Scattered light in LIGO B.T.

- BT is a "light pipe" (lossy!)



- $L/D \sim 4000 \Rightarrow$ lots of opportunity for scattering.
- identification of reflection paths is not analytical
 - need optical (rough) design
 - use numerical methods to identify multipath interference
 - Monte Carlo
 - Launch rays with (θ, ϕ)
 - follow rays through multiple reflections
 - keep track of cumulative probabilities/intensities
 - LIGO had "baseline" design analyzed by Breault Research Org. (BRO)
 - intra cavity scatter (highest finesse) worst problem
 - recombination @ detector also a concern (LOS)

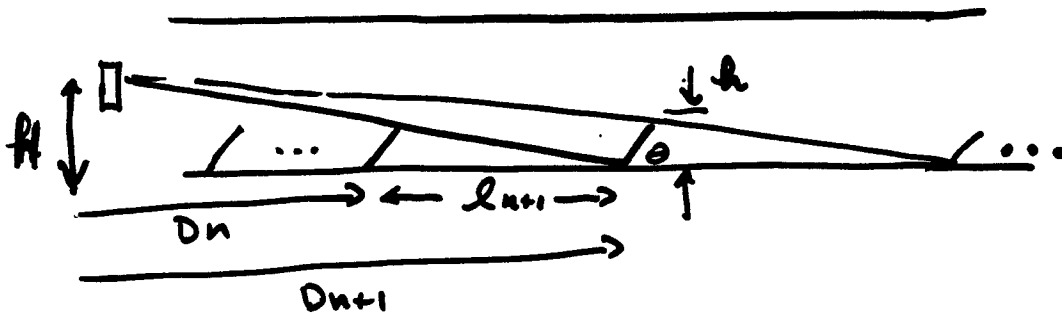


- ① Backscatter from baffles
- ② Reflect off baffles (Backscatter off BT wall)
- ③ Diffract from baffle edges.

- use baffling to suppress direct viewing of BT wall by mirrors
- Paths ①, ②, ③ worst remaining offenders
- To estimate magnitude of effects need to formulate
 - scattering off (nearly perfect) mirrors
 - " BT walls/baffles
 - diffraction off baffle edges
 - recombination of scattered light into TEM₀₀ beam

LIGO Baffle design -

- select spacing to completely mask BT surface from mirrors
- select height to keep baffles count low while not impacting clear aperture
- incline baffles away from nearest mirror
 - avoid "retro angles"
 - direct light away from nearest mirror
- serrate edges to reduce (coherent) diffraction



$$\frac{H}{D_n} = \frac{h}{l_{n+1}} \quad ; \quad D_{n+1} = D_n + l_{n+1}$$

$$\Rightarrow \frac{l_{n+1}}{D_n} = \left[\frac{H}{H-h} \right] \equiv \beta > 1$$

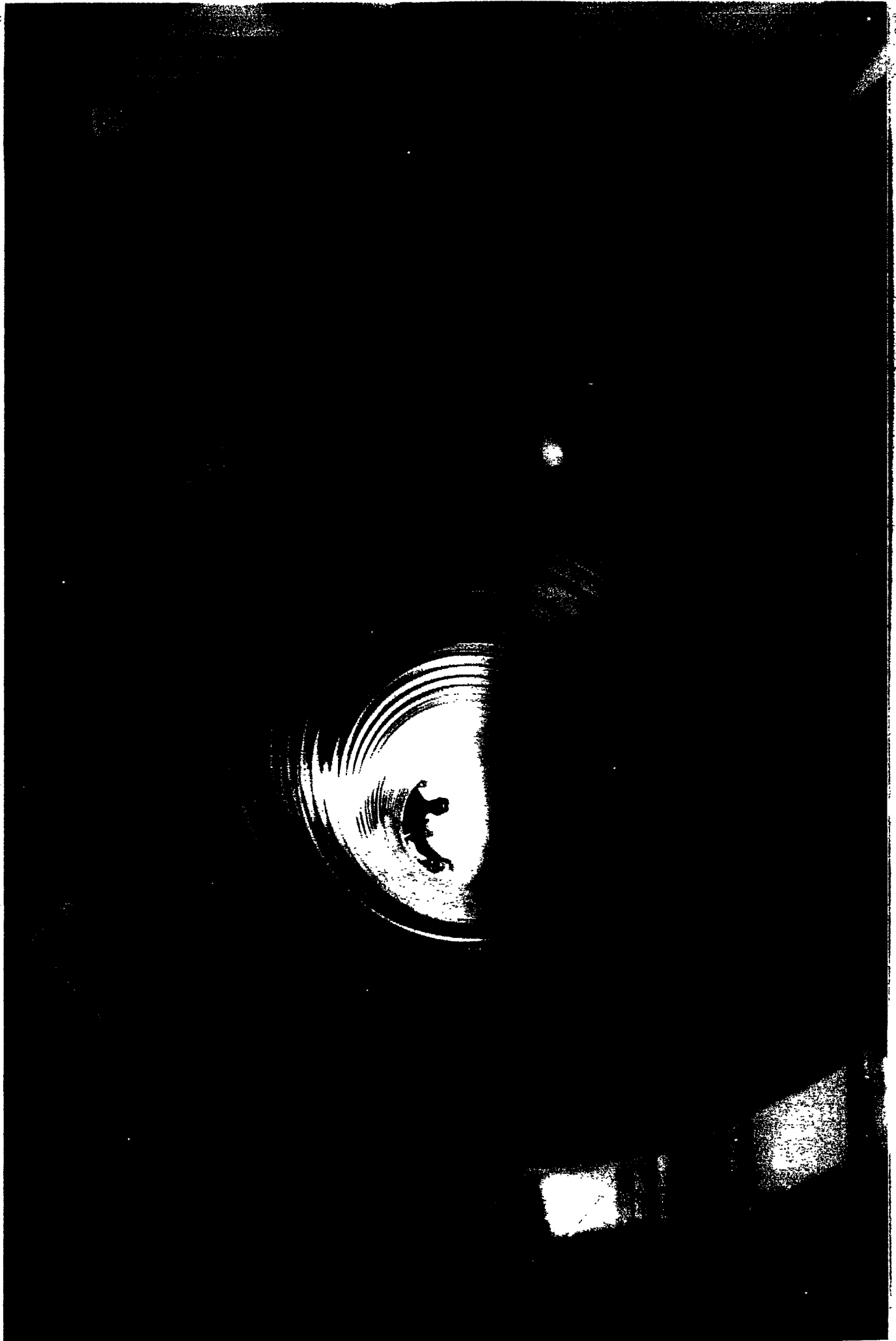
$$l_{n+1} = l_1 \beta^n$$

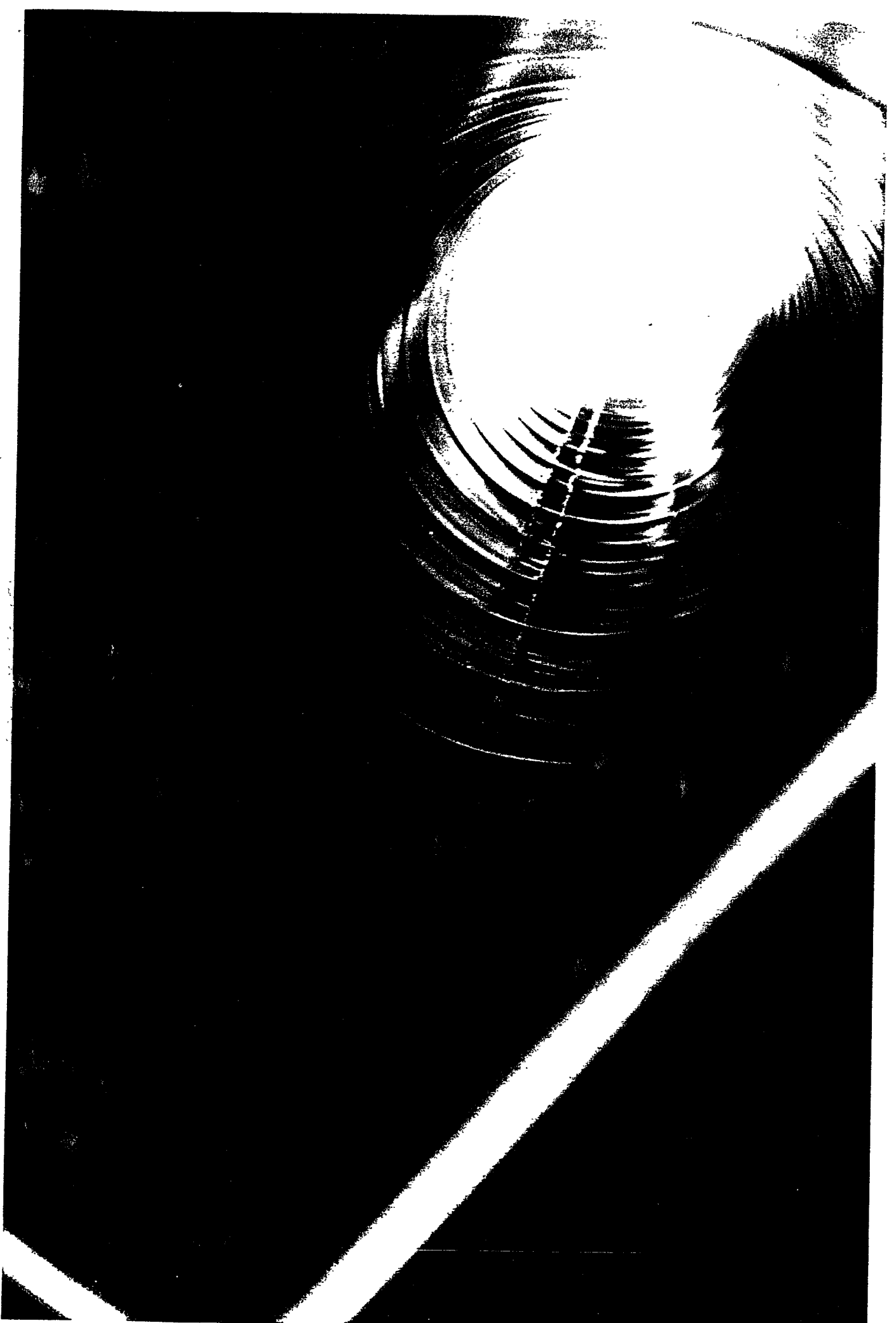
- Geometric progression

- $h = 9 \text{ cm}$

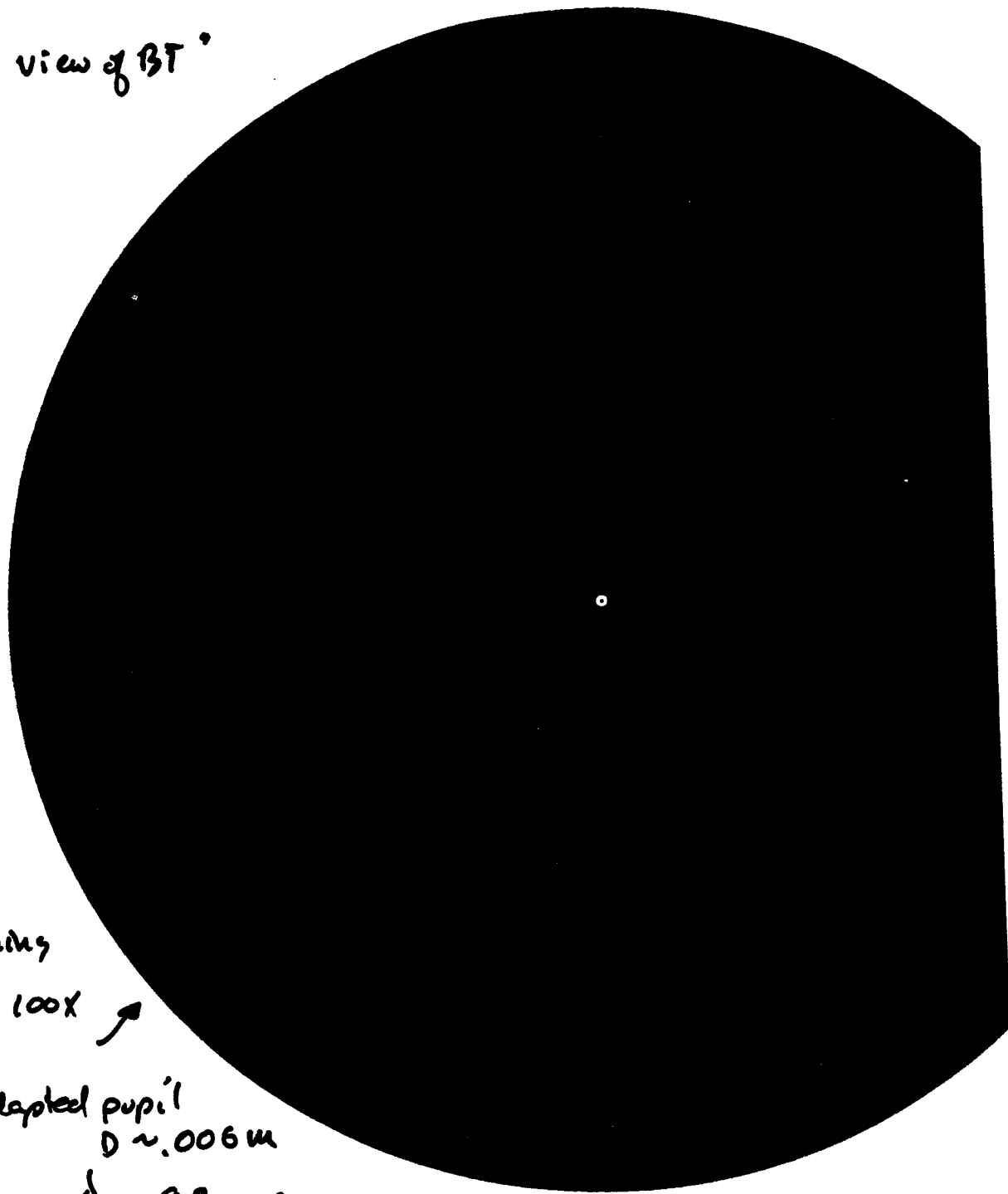
- $\theta = 35^\circ$ ($\theta_i = 55^\circ$ for scattering processes)







"mirror's eye view of BT"



• End opening

magnified 100x ↗

• Dark adapted pupil
 $D \sim 0.006 \text{ m}$

$$\Rightarrow \Delta\theta_{\text{off}} = \frac{\lambda}{D} = 89 \mu\text{r}$$

$\Rightarrow \Delta X_{\text{min}} = 0.3 \text{ m} \Rightarrow$ opening is a pointlike star

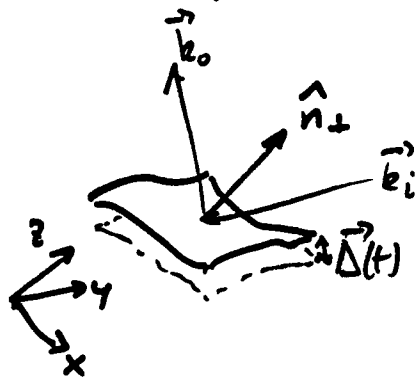
• Ambient sky brightness (day) $\sim 30 \text{ W/m}^2/\text{sr}$

$\Rightarrow 2 \mu\text{W/m}^2$ @ 4000 m \rightarrow Very dark

$\Rightarrow m_v \sim +36 \leftarrow$

I.

Scattering from moving surface produces time-dependent phase shifts



Non specular: $\vec{k}_o, \vec{k}_i, \hat{n}_+$ not in same plane

surface: $z = f(x, y)$

motion: surface translates by $\vec{\Delta}(t)$

$\vec{k}_i \cdot \vec{r}$

• Incident plane wave

$|\psi_i\rangle \sim e$

$|\psi_i\rangle = e^{i[k_x x + k_y y + k_z f(x, y)]}$

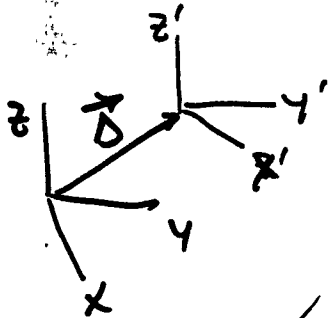
• Scattered wave

$|\psi_o\rangle = e^{i[k'_x x + k'_y y + k'_z f(x, y)]}$

Consider at $t=0$ $\langle \psi_o | \psi_i \rangle = \int dx dy e^{i(\Delta k_x x + \Delta k_y y + \Delta k_z f(x, y))}$

let

$\vec{\Delta}(t) = \{ \xi(t), \eta(t), \zeta(t) \}$



$x = x' + \xi$

$z' = f(x', y')$

$y = y' + \eta$

$z = \zeta + f(x', y')$

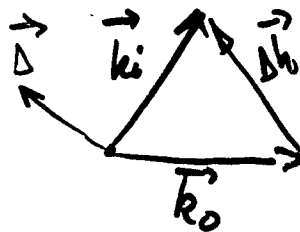
$z = z' + \zeta$

$\langle \psi_o | \psi_i \rangle_t = \int dx' dy' [e^{i \vec{\Delta k} \cdot \vec{\Delta}} e^{i(k'_x x' + k'_y y' + k'_z f(x', y'))}$

$= e^{i \vec{\Delta k} \cdot \vec{\Delta}(t)}$
dynamic phase shift

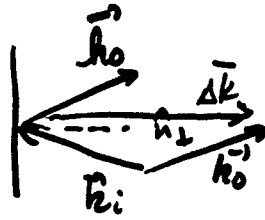
$\langle \psi_o | \psi_i \rangle_0$
static form factor

$\phi(t) = \vec{\Delta k} \cdot \vec{\Delta}(t)$



I Scatter by a moving surface

• Reflection

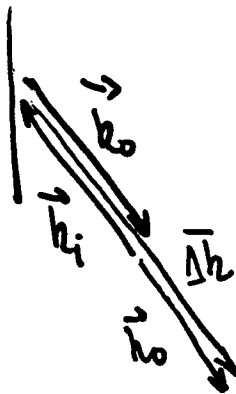


$$\Delta \vec{k} = -2(\vec{k}_i \cdot \hat{n}_\perp) \hat{n}_\perp$$

$$\delta\phi = -2(\vec{k}_i \cdot \hat{n}_\perp)(\vec{\Delta} \cdot \hat{n}_\perp)$$

- sensitive to motion
 \perp to surface

• Backscatter

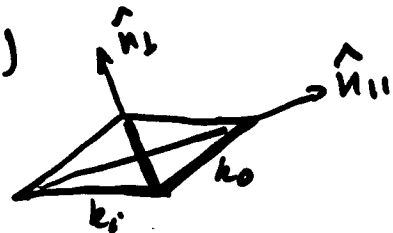
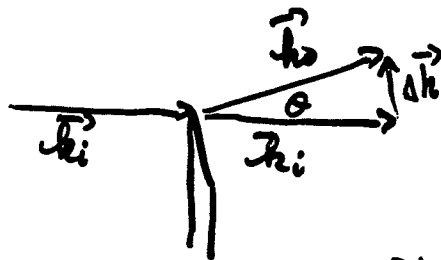


$$\Delta \vec{k} = -2 \vec{k}_i$$

$$\delta\phi = -2 \vec{k}_i \cdot \vec{\Delta}$$

- sensitive to motion
 along line of sight (L.O.S.)

• Small angle scatter (Diffraction)



$$\Delta \vec{k} = k\theta \hat{n}_\perp$$

$$\delta\phi = k\theta \vec{\Delta} \cdot \hat{n}_\perp = k\theta \Delta_\perp$$

- sensitive to motion
 \perp to L.O.S.

I

Light Scattering phase noise



$$\frac{\delta E}{E} = \cos \phi + i \sin \phi$$

- Whereas $\phi \ll 1$ for GW, cannot assume this for general light scatter
- rough ($\gg \lambda$) surface
- large amplitudes of motion (on resonance) are possible.

$$h^2 = \frac{1}{|\frac{d\phi}{dh}|^2} \int \text{spectral density of } \sin \phi(\omega)$$

- Small (dynamic) motion δ

$$\phi = \phi_0 + \delta\phi(t)$$

\downarrow small excursion
 \downarrow random constant

$$\sin \phi = \cos \phi_0 \delta\phi(t) + \sin \phi_0$$

$$\langle \sin^2 \phi \rangle = \frac{1}{2} \langle \delta\phi(t)^2 \rangle$$

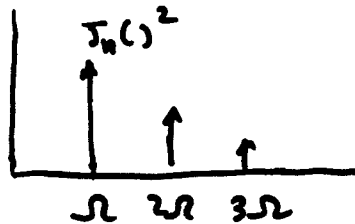
- large ($> \lambda$) motion

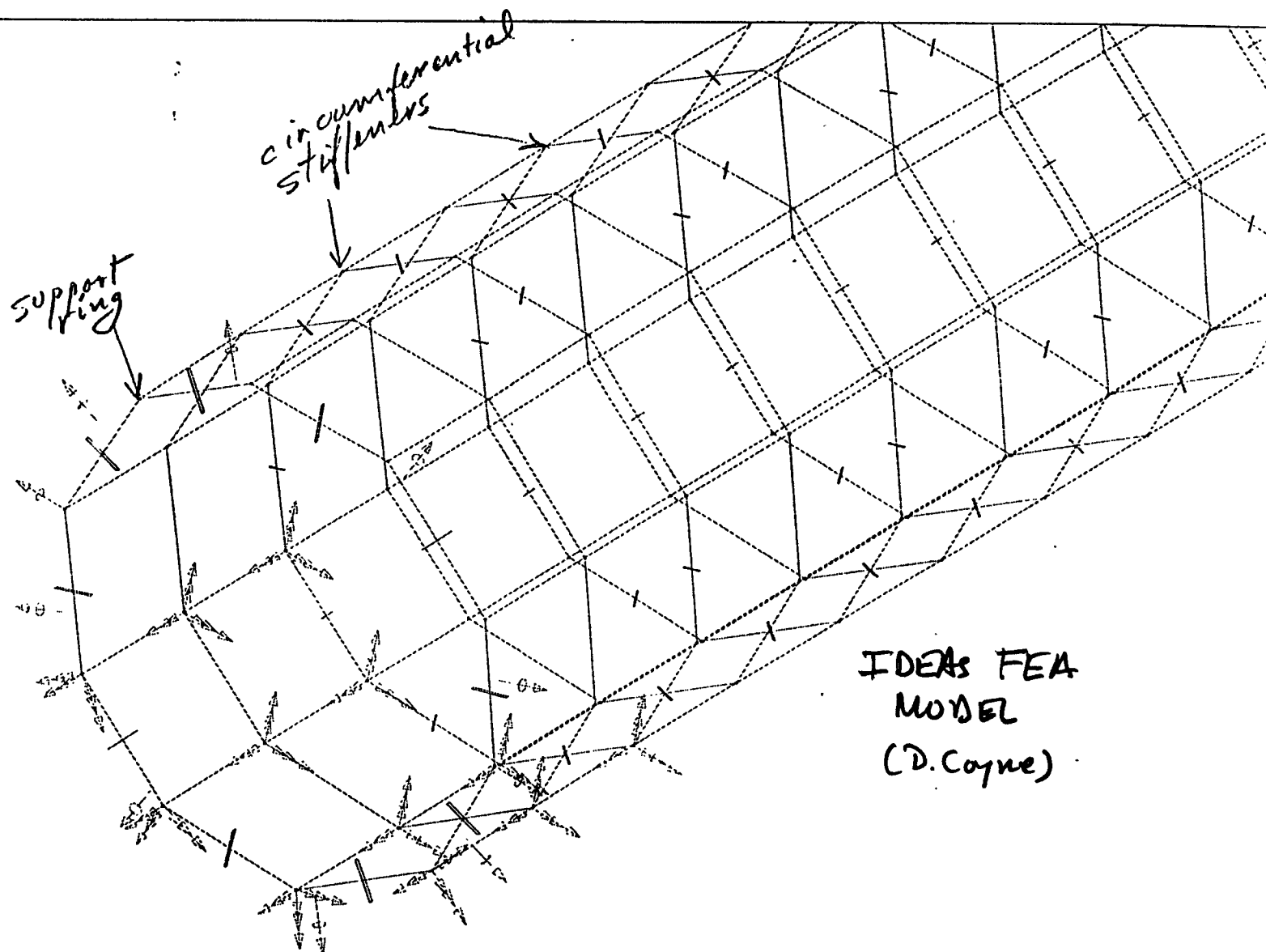
$$x(t) = x_0 \cos \Omega t$$

$$\sin \phi = \sin(\phi_0 + kx_0 \epsilon \cos(\Omega t)) \leftarrow \text{PM}$$

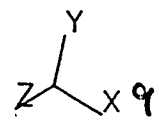
factor from orientation of motion $\epsilon \Delta \phi_0$

$$\sin \phi = \sum_n J_n(kx_0 \epsilon) e^{i n \Omega t + \alpha_n} \leftarrow \text{up conversion}$$





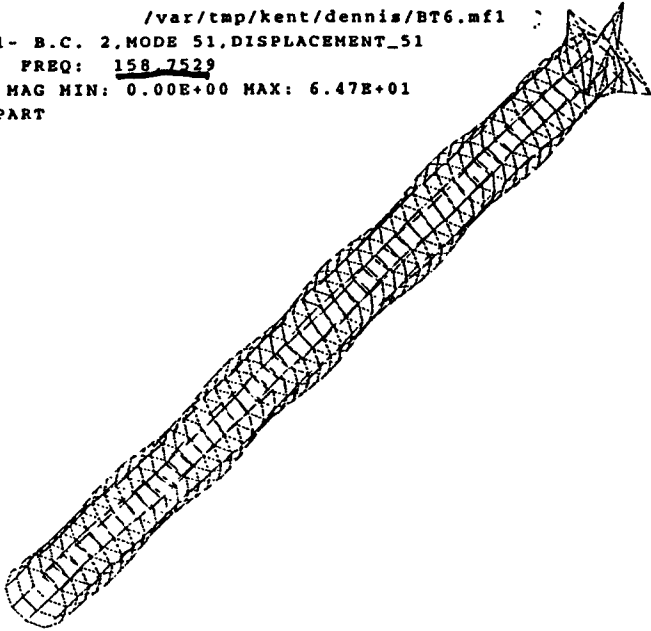
IDEAS FEA
MODEL
(D. Coyne)



BT FEA NORMAL MODES

/var/tmp/kent/dennis/BT6.mf1

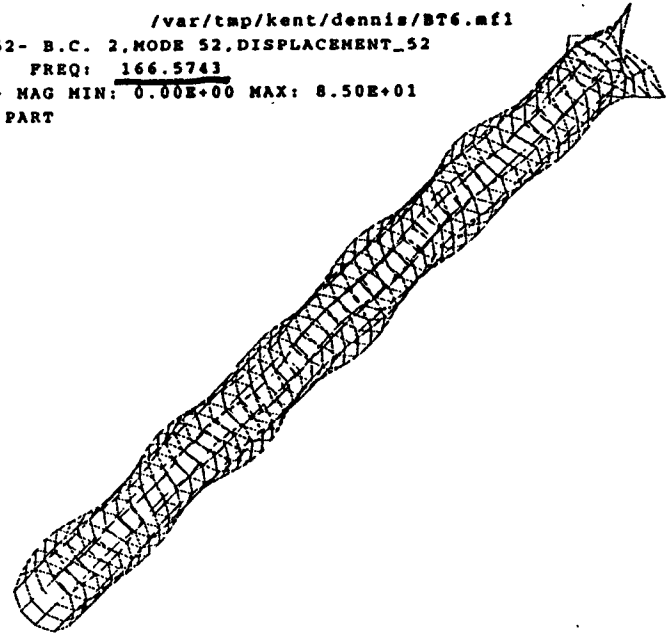
DEFORMATION: 51- B.C. 2, MODE 51, DISPLACEMENT_51
MODE: 51 FREQ: 158.7529
Displacement - MAG MIN: 0.00E+00 MAX: 6.47E+01
FRAME OF REF: PART



1

/var/tmp/kent/dennis/BT6.mf1

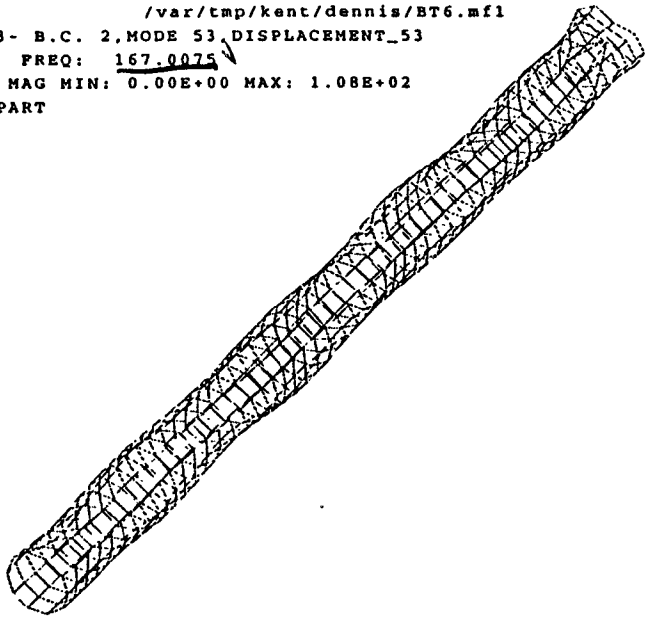
DEFORMATION: 52- B.C. 2, MODE 52, DISPLACEMENT_52
MODE: 52 FREQ: 166.5743
Displacement - MAG MIN: 0.00E+00 MAX: 8.50E+01
FRAME OF REF: PART



2

/var/tmp/kent/dennis/BT6.mf1

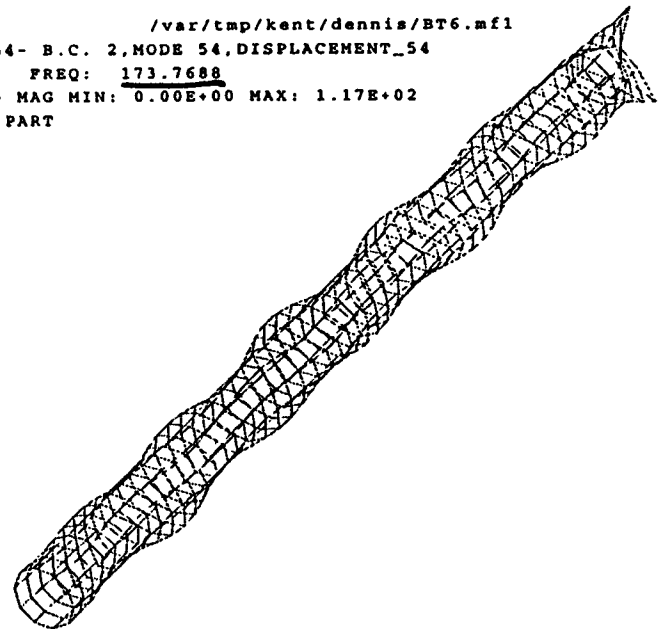
DEFORMATION: 53- B.C. 2, MODE 53, DISPLACEMENT_53
MODE: 53 FREQ: 167.0075
Displacement - MAG MIN: 0.00E+00 MAX: 1.08E+02
FRAME OF REF: PART



3

/var/tmp/kent/dennis/BT6.mf1

DEFORMATION: 54- B.C. 2, MODE 54, DISPLACEMENT_54
MODE: 54 FREQ: 173.7688
Displacement - MAG MIN: 0.00E+00 MAX: 1.17E+02
FRAME OF REF: PART



4

BT FE1 NORMAL MODES

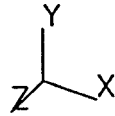
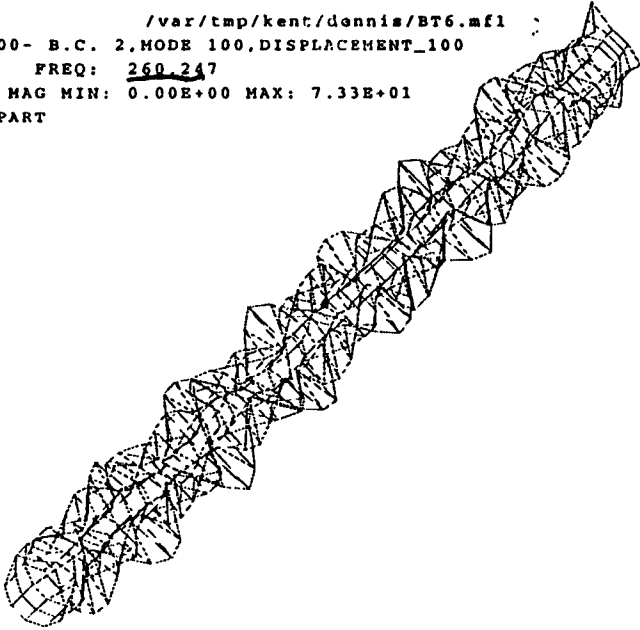
/var/tmp/kent/dennis/BT6.mf1

DEFORMATION: 100- B.C. 2, MODE 100, DISPLACEMENT_100

MODE: 100 FREQ: 260.247

Displacement - MAG MIN: 0.00E+00 MAX: 7.33E+01

FRAME OF REF: PART



1

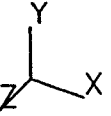
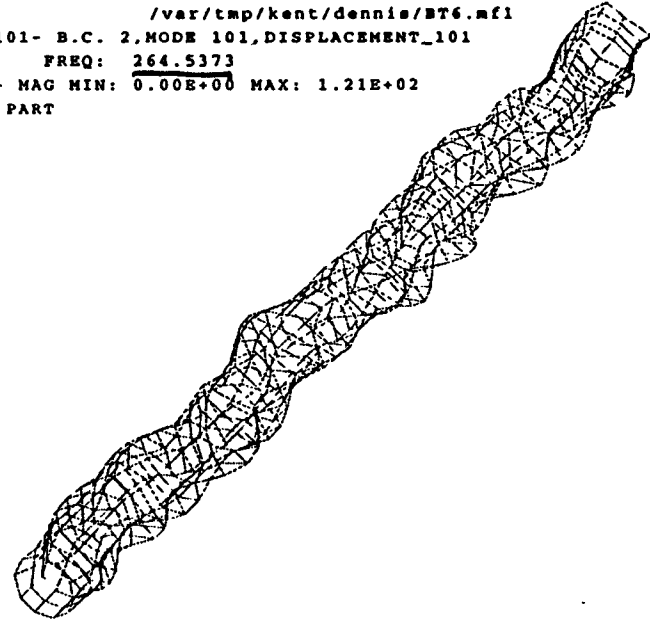
/var/tmp/kent/dennis/BT6.mf1

DEFORMATION: 101- B.C. 2, MODE 101, DISPLACEMENT_101

MODE: 101 FREQ: 264.5373

Displacement - MAG MIN: 0.00E+00 MAX: 1.21E+02

FRAME OF REF: PART



2

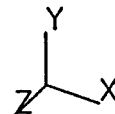
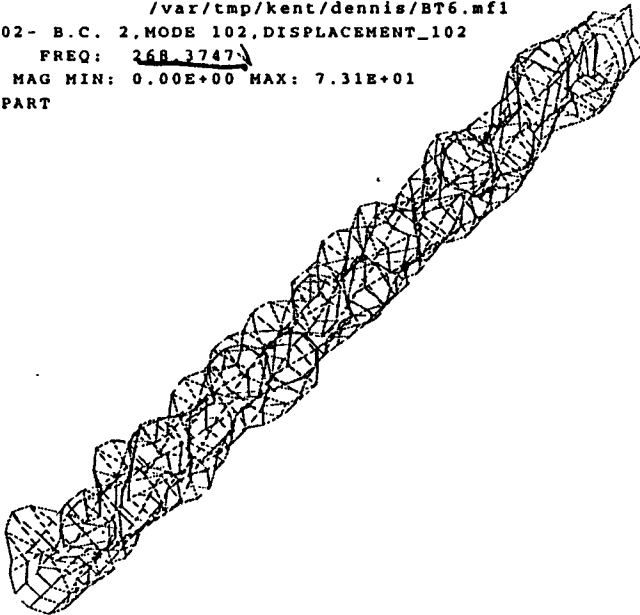
/var/tmp/kent/dennis/BT6.mf1

DEFORMATION: 102- B.C. 2, MODE 102, DISPLACEMENT_102

MODE: 102 FREQ: 268.3747

Displacement - MAG MIN: 0.00E+00 MAX: 7.31E+01

FRAME OF REF: PART



3

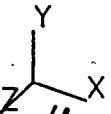
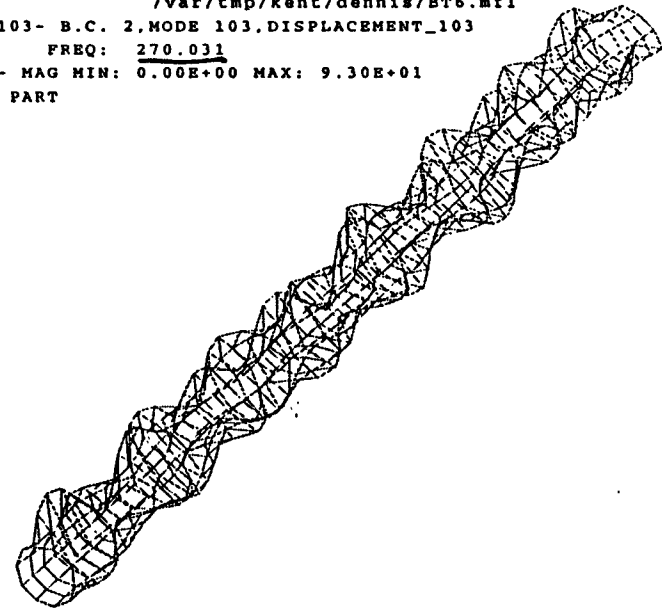
/var/tmp/kent/dennis/BT6.mf1

DEFORMATION: 103- B.C. 2, MODE 103, DISPLACEMENT_103

MODE: 103 FREQ: 270.031

Displacement - MAG MIN: 0.00E+00 MAX: 9.30E+01

FRAME OF REF: PART



4

S6 → A5x

Frequency response function

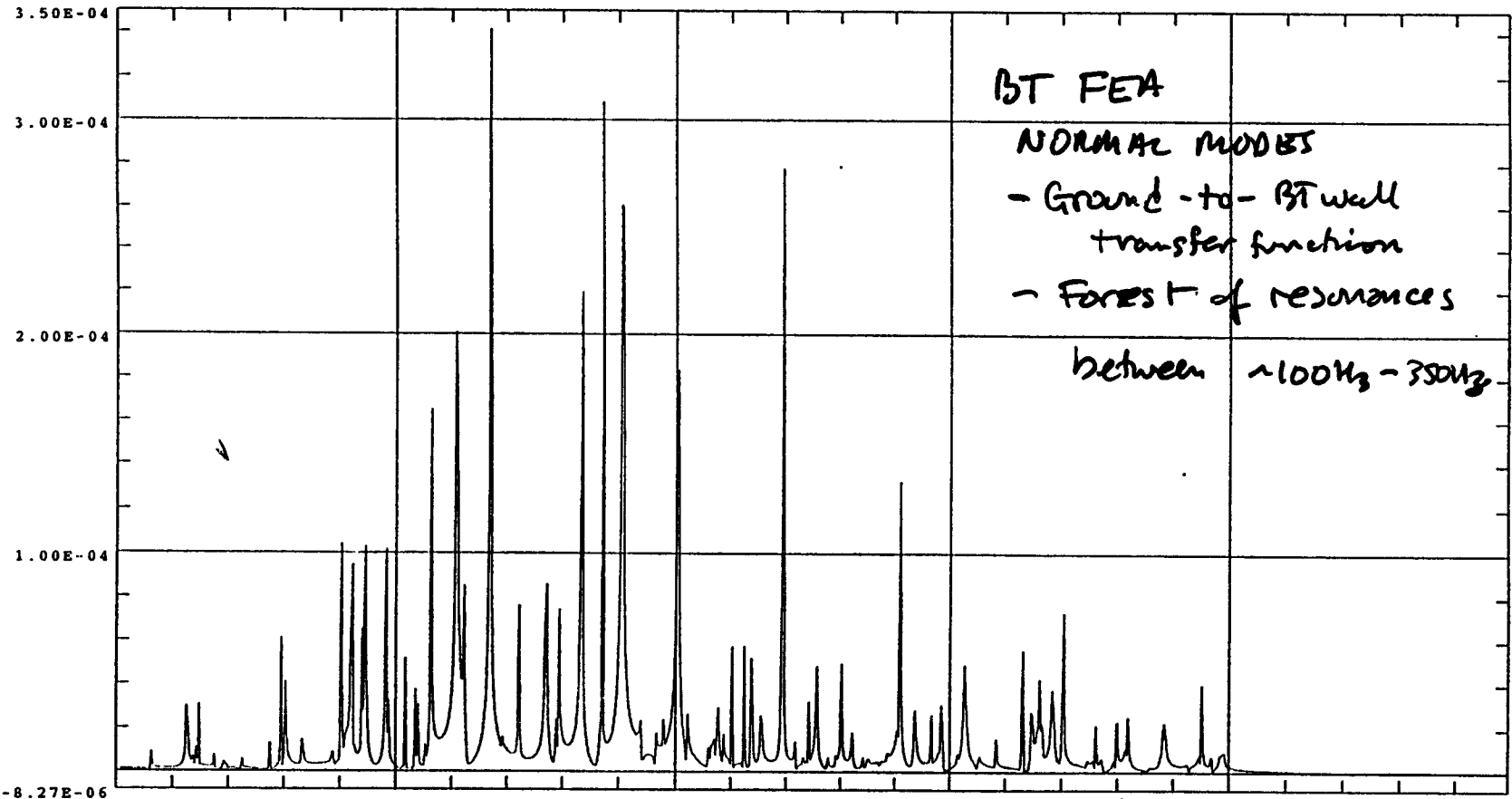
PHASE

$\phi(f)$



MODULUS

$|a(f)|$



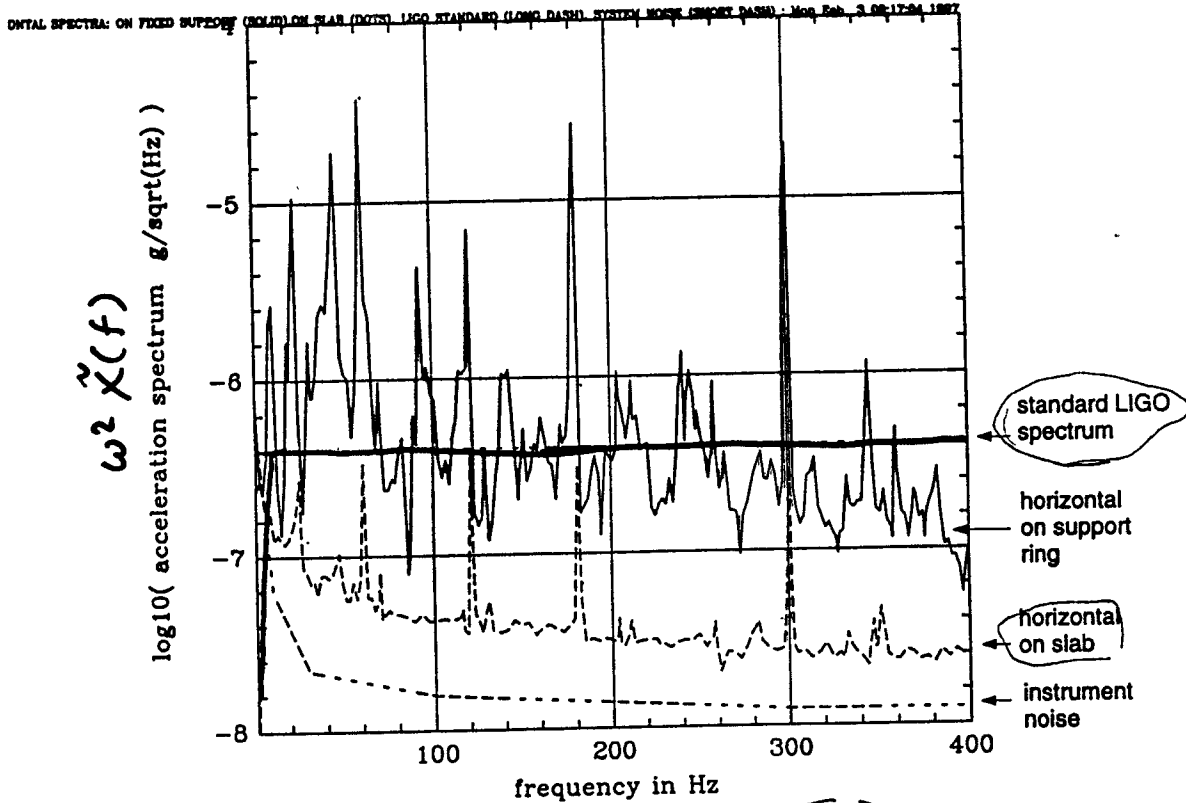
BT FEA
NORMAL MODES
- Ground-to-BT well transfer function
- Forest of resonances between ~100Hz - 350Hz

0.00 100.00 200.00 300.00 400.00 499.25

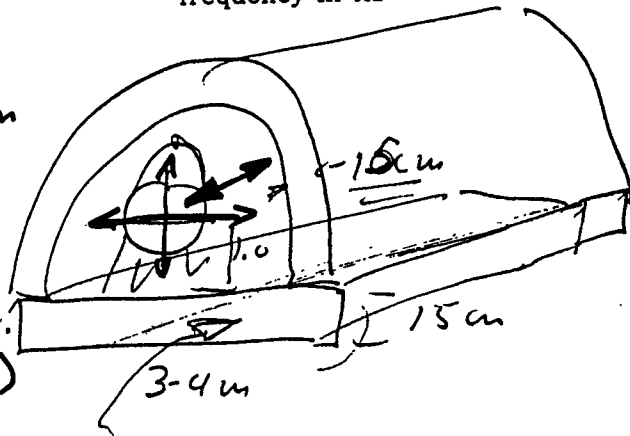
Frequency (Hz)

1/ID=427
Node 1046-X
Acceleration

LIGO X arm BT wall motion Field Measurements (Weiss)

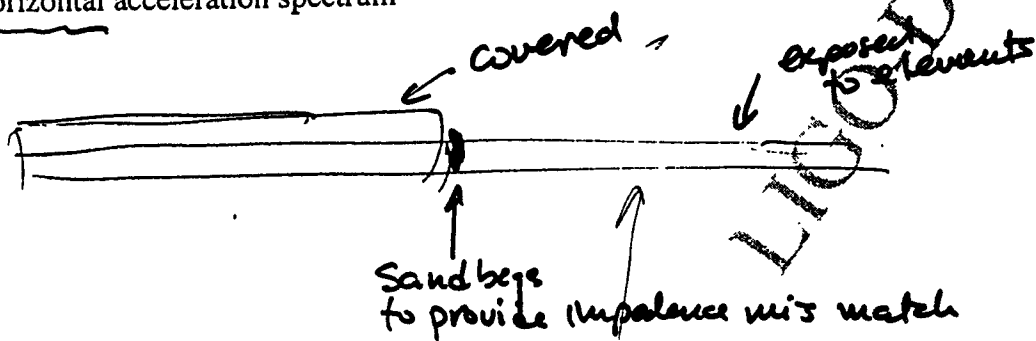


- lots of BT motion
- still under construction
- * - not coherent w/ ground
- Acoustically driven
- Without Bakeout insulation (15cm)
- will attenuate



- Like harmonics due to mech. vib of xformer cores on slab (GBT)

Figure 2 Horizontal acceleration spectrum



LIGO DRAFT

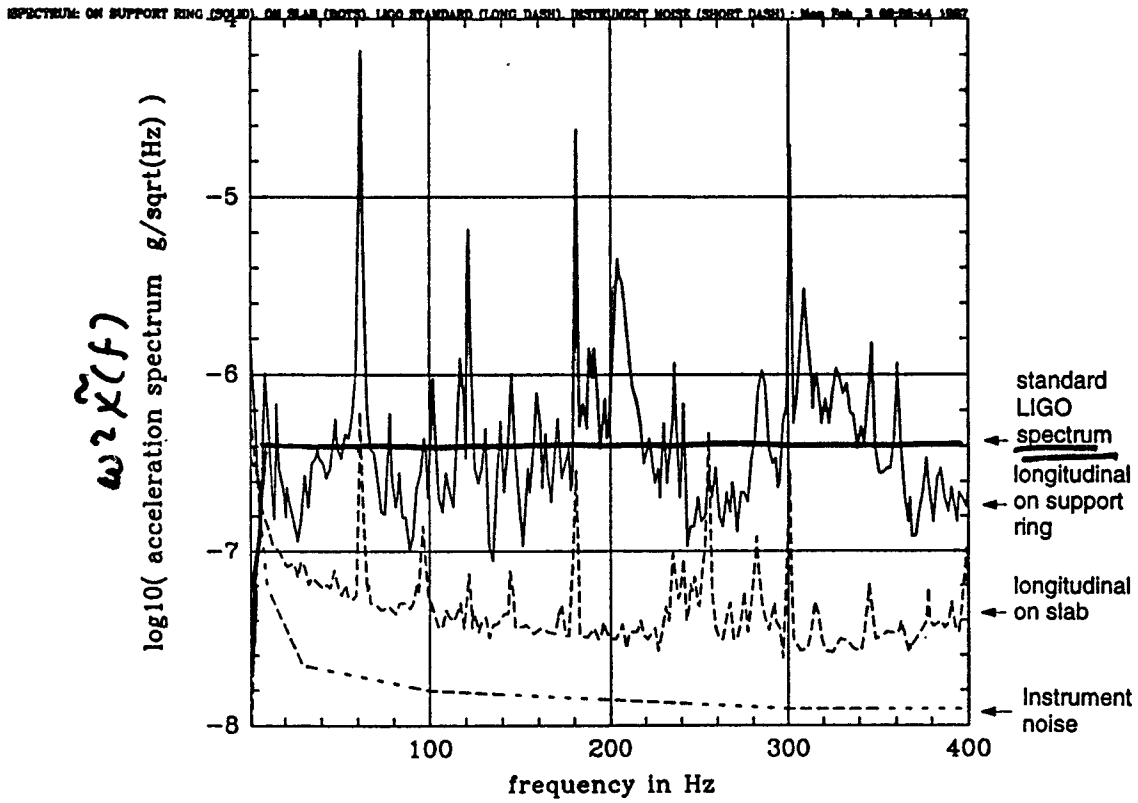


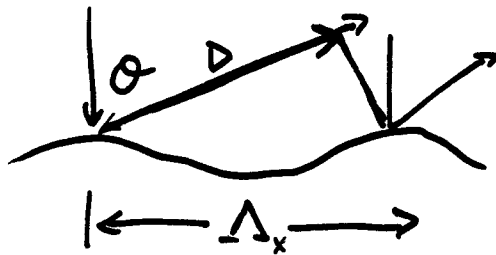
Figure 1 Longitudinal acceleration spectrum.

LIGO-DRAFT

II. Scatter from a rough surface - BRDF

BRDF = Bidirectional Reflectance Distribution Function

- Angular distribution of scattered light
- Surface features modify wavefront \rightarrow far field diffraction
- Related to spectral density of surface errors through Bragg condition \downarrow scatter

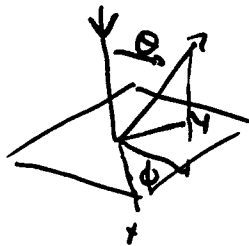


$$\Delta_n = n\lambda = \Delta_x \sin \theta \quad n \rightarrow 1 \text{ (small angle scatter)}$$

$$\lambda = \Delta_x \theta \rightarrow \nu_x \lambda = \theta_x \quad \text{spatial frequency } \nu_x$$

\rightarrow maps into scattering angle θ .

2D:



$$\lambda = \Delta_x \sin \theta \cos \phi$$

$$\lambda = \Delta_y \sin \theta \sin \phi$$

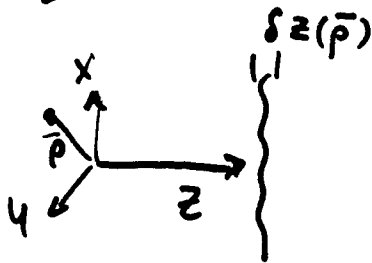
$$\nu_y = \frac{1}{\lambda} \sin \theta \sin \phi$$

$$\nu_x = \frac{1}{\lambda} \sin \theta \cos \phi$$

$$d\nu_x d\nu_y = \frac{1}{\lambda^2} J[\nu_x, \nu_y, \theta, \phi] d\theta d\phi$$

$$\underline{d\nu_x d\nu_y} = \underline{\frac{1}{\lambda^2} d\Omega}$$

II irregular surface scatter from a surface



$$\leftarrow \psi_0 = \sqrt{I_0} e^{i k z}$$

$$\rightarrow \psi' = \sqrt{I_0} e^{i k z'} e^{z i k \delta z(\bar{r})}$$

↑ excess phase perturbation upon reflection
 $\ll 1$

$$\langle \delta z \rangle = 0 \quad \langle \delta z^2 \rangle = \sigma^2$$

$$\langle \delta z(\bar{r}_1) \delta z(\bar{r}_2) \rangle = \sigma^2 C(\bar{r}_1, \bar{r}_2)$$

Consider F.T. of ψ' @ $z=0$

↑ spatial correlation fn. (normalized)

$$\Psi(v_x, v_y) = \sqrt{I_0} \int dA e^{i 2\pi v_x x} e^{i 2\pi v_y y} e^{z i k \delta z(\bar{r})}$$

$$|\Psi(v_x, v_y)|^2 = I_0 \int dA dA' e^{i 2\pi v_x (x-x')} e^{i 2\pi v_y (y-y')} e^{z i k [\delta z(x,y) - \delta z(x',y')]}$$

$$|\Psi(v_x, v_y)|^2 = I_0 \int dA dA' e^{i 2\pi v_x (x-x')} e^{i 2\pi v_y (y-y')} e^{i z} = 1 - \frac{\epsilon^2}{2} + i \epsilon + \dots$$

statistical avg:

$$\langle |\Psi(v_x, v_y)|^2 \rangle = \underbrace{I_0 \pi a^2 [1 - 4k^2 \sigma^2]}_{P_0} \delta(v_x) \delta(v_y) + \underbrace{I_0 \pi a^2 4k^2 \sigma^2}_{P_0} \tilde{C}(v_x, v_y)$$

specular diffuse

$$\int \langle |\Psi(v_x, v_y)|^2 \rangle dv_x dv_y = P_0$$

Consider scattered (diffuse part):

$$\int \langle |\Psi(v_x, v_y)|^2 \rangle_{\text{scatt}} dv_x dv_y = P_0 \underbrace{4k^2 \sigma^2}_{\text{surface irregularity leads to scatter}}$$

$$P_0 4k^2 \sigma^2 = \int \langle |\Psi|^2 \rangle \frac{1}{\lambda^2} d\Omega$$

$$\frac{dP_{sc}}{d\Omega} = \frac{P_0}{\lambda^2} [4k^2 \sigma^2] \tilde{C}(v_x, v_y) \quad \text{W/sr}$$

1/4 dependence → Rayleigh's scat. 16

II

Scatter from a surface

$$\underbrace{\frac{1}{P_0} \frac{dP}{d\Omega}}_{\substack{\text{Scattering} \\ \text{probability} \\ \text{- measurable} \\ \text{in lab}}} = \frac{4k^2}{\lambda^2} \underbrace{[\sigma^2 \tilde{C}(V_x, V_y)]}_{\substack{m^2 \\ [m^{-1} m^{-1}]}} \quad sr^{-1}$$

for rough surfaces (BT, baffles ...)

$$\left[\frac{1}{P_0} \frac{dP}{d\Omega} \right] = \frac{16\pi^2}{\lambda^2} \left[\frac{\sigma^2}{\lambda^2} \tilde{C}(V_x, V_y) \right] \Leftrightarrow \text{BRDF}(\Omega)$$

$\int_{2\pi} \tilde{S}_1(k_x) : \frac{\text{waves}^2 (\text{at } \lambda)}{m^{-1} m^{-1}}$
 Predict (vertical arrow) vs measure (i.e. Pathfinder) (horizontal arrow)

difficult to measure for good surfaces [RTs being built]
 easy to calculate

• Frequently measure 1D $S_1(k_x)$:

$$S_1(k_x) = 2 \int S_2(k_x, k_y) [dk_y / 2\pi]$$

- in general cannot invert to get S_2 (needed for BRDF)
 - ↳ measurement
 - ↳ too noisy
- simple analytical model (fit) to data

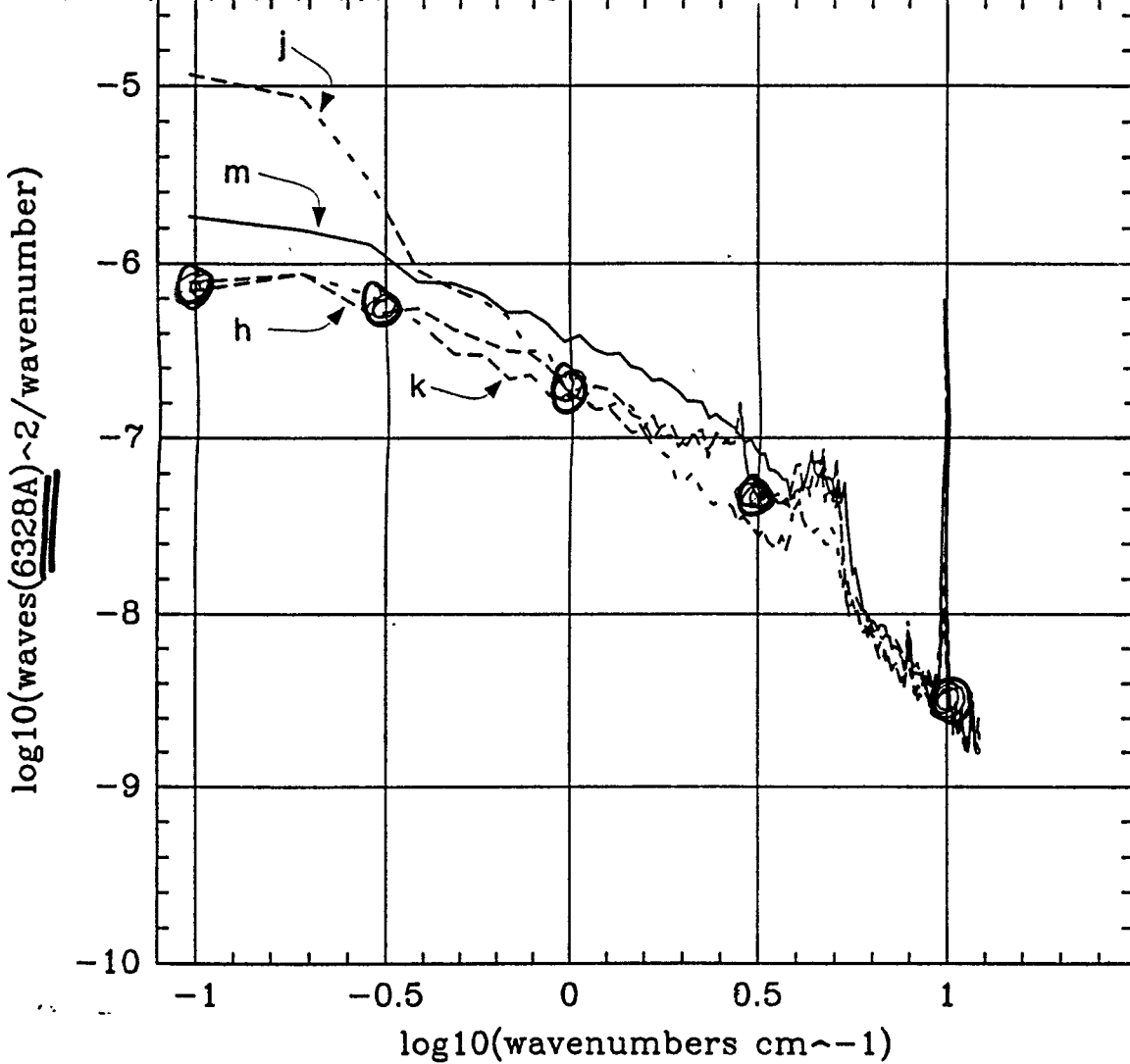
$$S_1 = \frac{A}{[1 + [Bf_x]^2]^{C/2}} \quad \text{fit } A, B, C$$

$$\text{Then } S_2 = \frac{A B \Gamma C \frac{C+1}{2}}{2\sqrt{\pi} \Gamma(C/2)} \cdot \frac{1}{[1 + [Bf]^2]^{C/2}}$$

$$\frac{1}{100} \approx 1 \mu\text{m}$$

$$\frac{1}{1.01 \mu\text{m}} \approx 100 \text{ cm}^{-1}$$

NIST phase maps: m (solid), k (dots), j (short dash), h (long dash); Z rm 0,0:1,1:2,2:3,1:3,3:4,0 : Wed Jul 10 22:03:31 1986



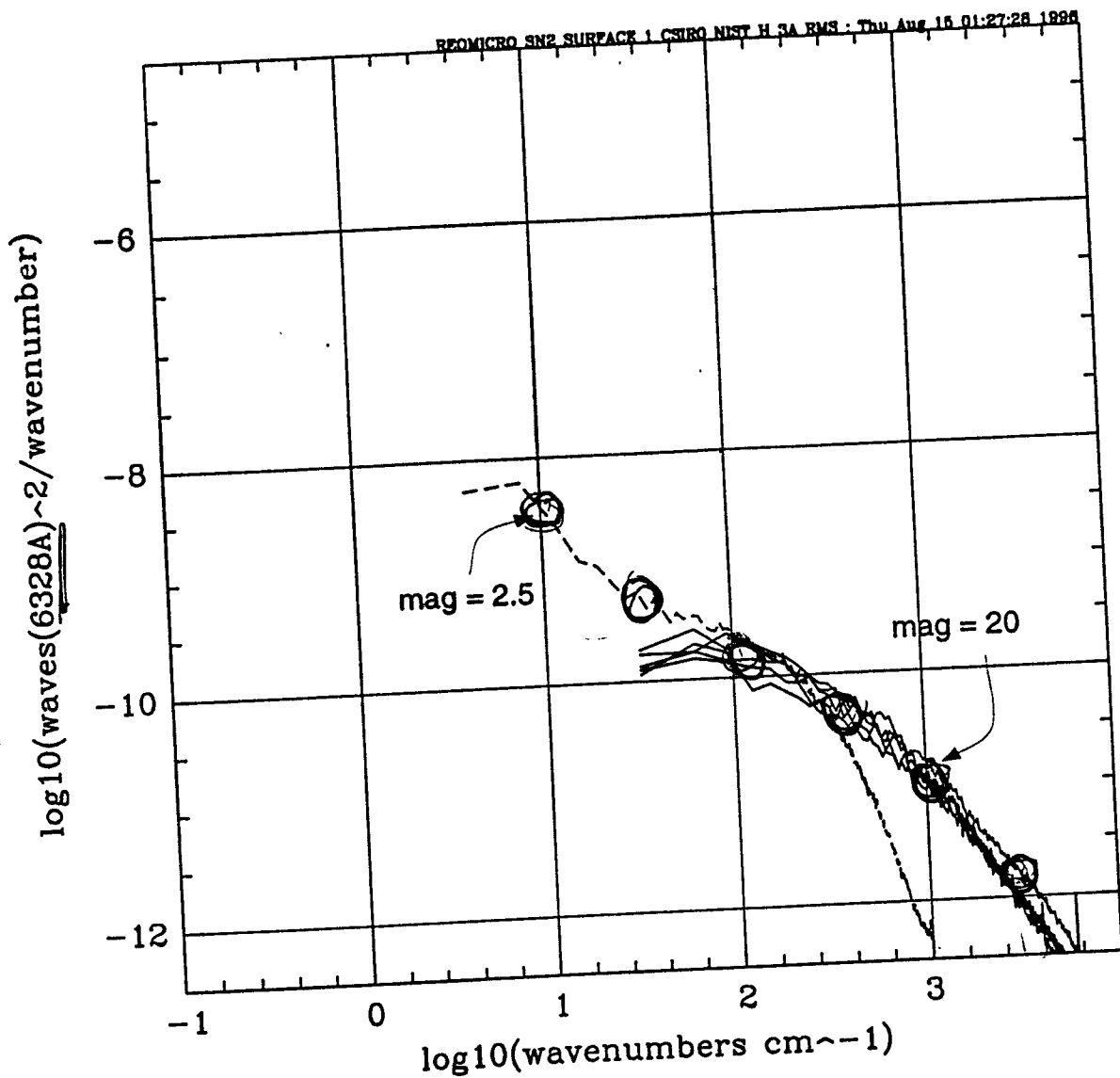
All spectra derived from phase maps with Z(0,0),Z(1,1),Z(2,0),Z(2,2),Z(3,1)
Z(3,3),Z(4,0) removed

h = long dash = CSIRO surface 2 #2
j = short dash = HDOS serial04 side 2
k = dots = CSIRO surface 2 #6
m = solid = GO

VG 10 One d fit NIST phasemaps of flat surfaces

$$S_1(k_x)$$

Interferometer Phase Map Data



FILES:

211,212,213,214,215,216

sn2 surface 2 CSIRO NIST H 3A rms

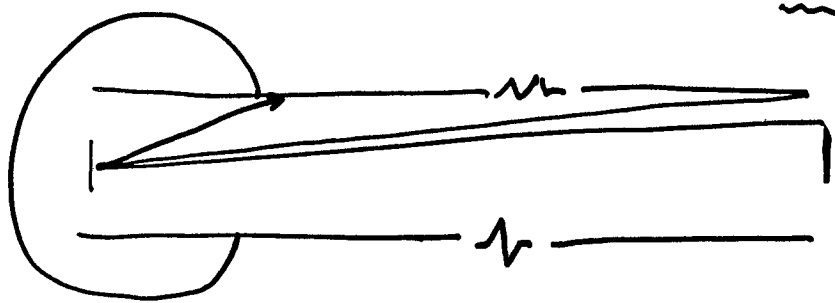
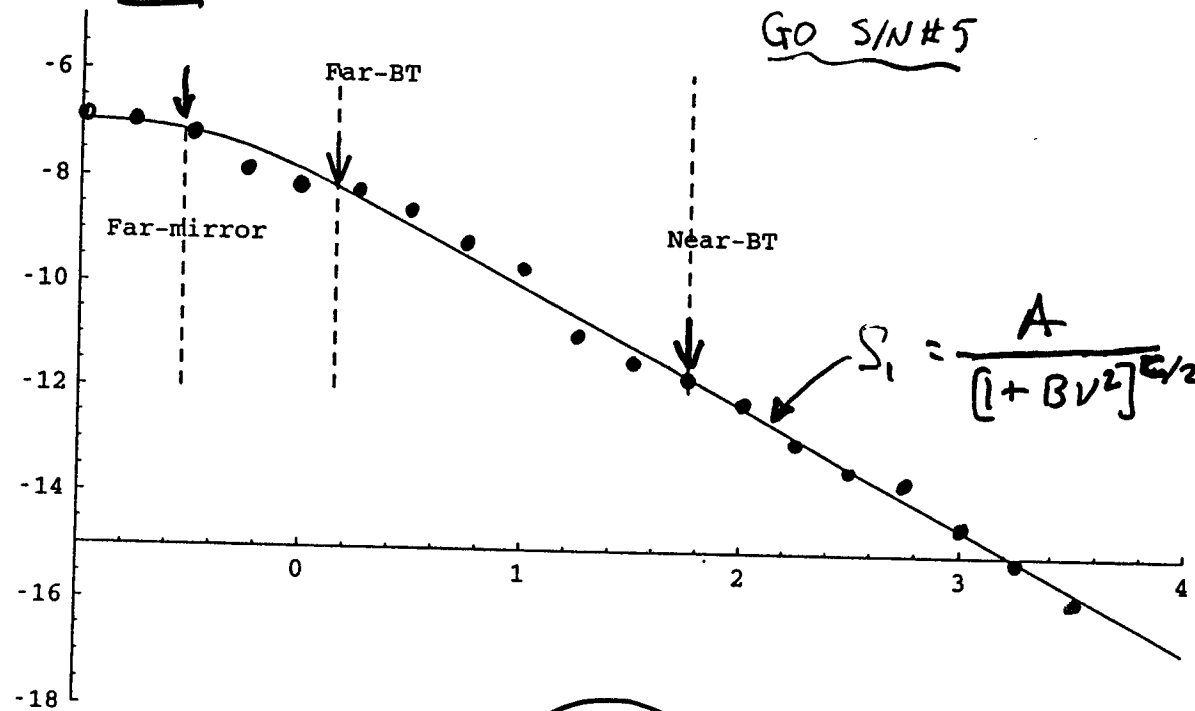
VG 16 Reo Micro

Profilometer Data

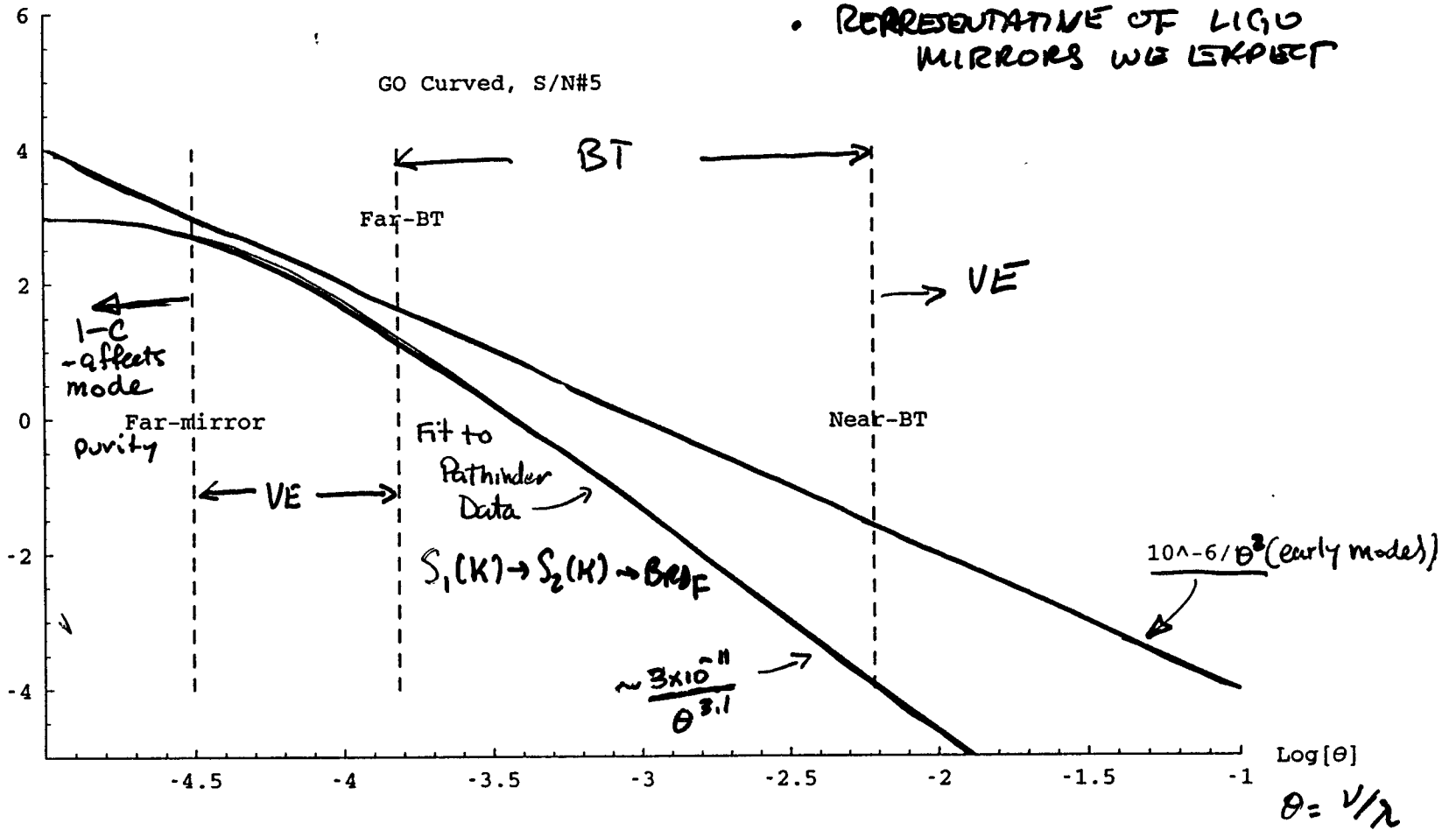
Log[PSD(waves² (@ λ=1 μm) / (cm²-2))]

Pathfinder data

GO S/N#5

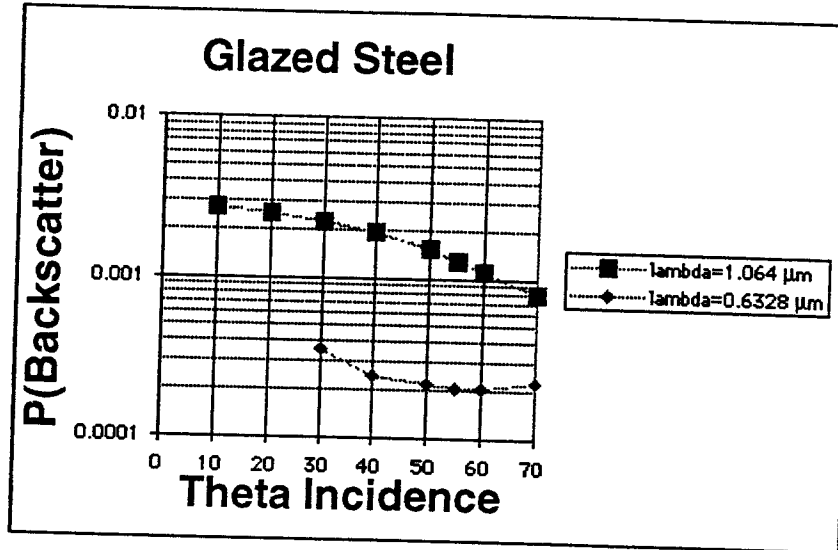
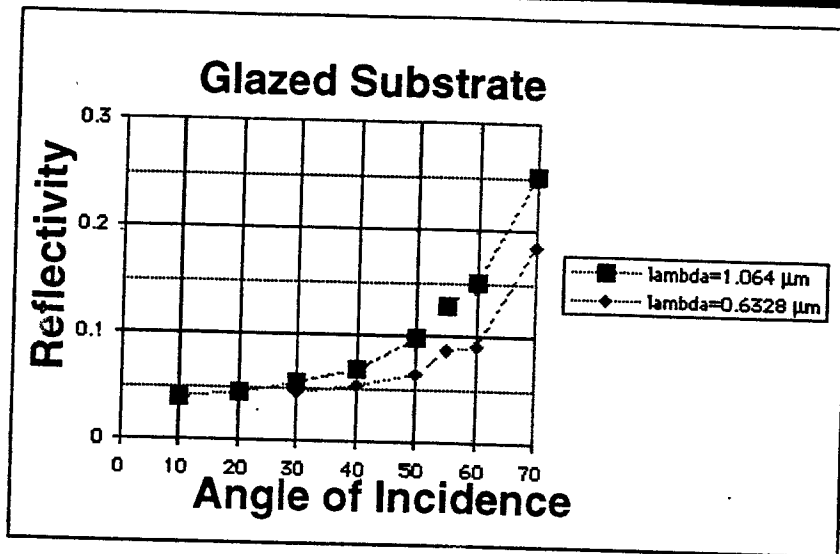


Log[BRDF (1/sr)]

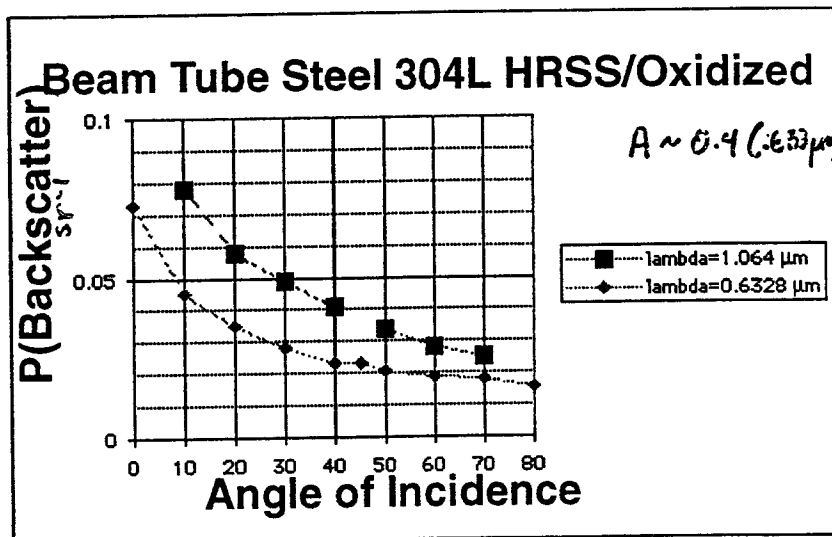
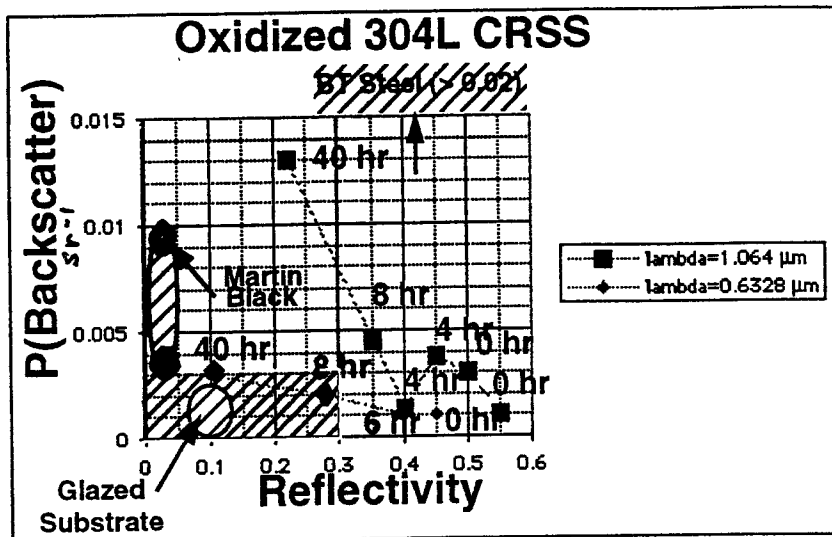


- GOOD QUALITY
- UNCORRECTED (this is an unknown!)
- REPRESENTATIVE OF LIGO MIRRORS WE EXPECT

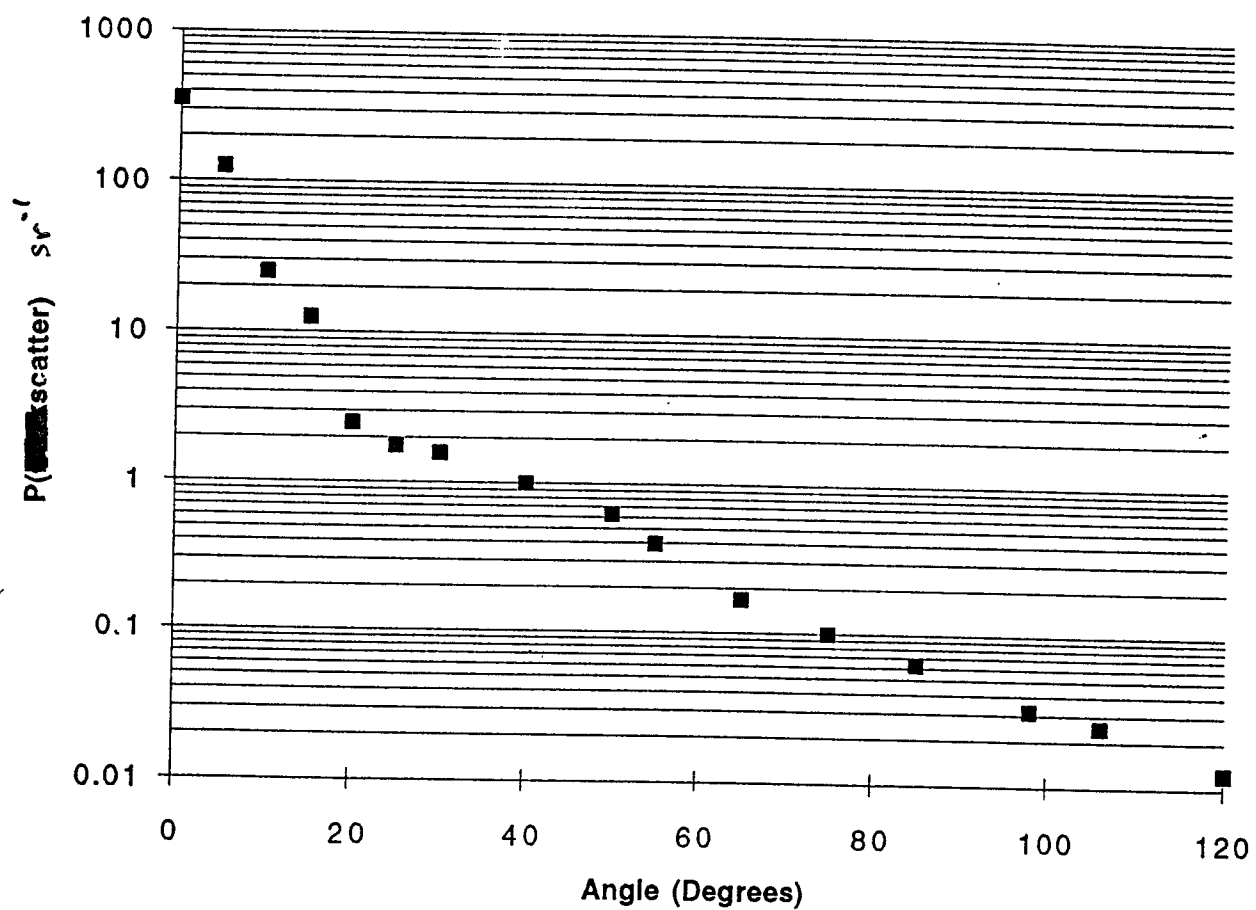
Beam Tube Baffle Materials



Beam Tube Baffle Materials

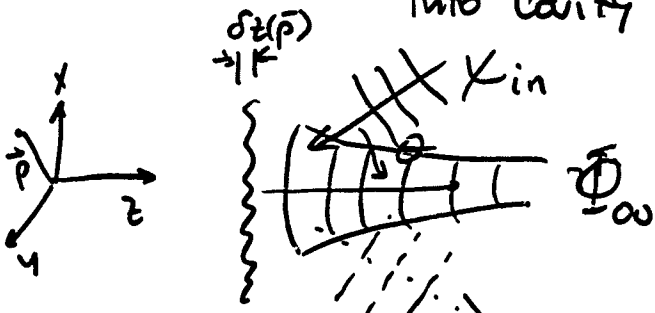


Beam Tube Steel Forward Scattering



III.

Recombination of scattered light into cavity mode on rough surface



K_{in}, K_{out} are scattered plane waves

- scatter into $\Phi_{00} \Rightarrow$ couples into cavity

Consider: $\theta \gg \theta_g = \frac{\lambda}{\pi w}$
 $\theta \ll 1$ [forward]

$$|K_{in}\rangle_{z=0} = \sqrt{I_0} e^{i\vec{k} \cdot \vec{r}} \quad [W/m^2]^{1/2}$$

$$|K_{out}\rangle_{z=0} = |K_{in}\rangle e^{2ik\delta z(\vec{r})} \quad [W/m^2]^{1/2}$$

$$= \sqrt{I_0} e^{ik_x x} e^{ik_y y} e^{ik_z 2\delta z(\vec{r})}$$

- Coupling into mode proportional to (mode overlap)²

$$SP \propto |\Gamma|^2$$

$$|\Phi_{00}\rangle_{z=0} = \sqrt{\frac{2}{\pi\omega^2}} e^{-\frac{(x^2+y^2)}{\omega^2}} \quad [1/m^2]^{1/2}$$

$$\Gamma = \langle \Phi_{00} | K_{out} \rangle = \sqrt{I_0} \int dA \phi_{00}(x,y) e^{ik_x x} e^{ik_y y} e^{2ik_z \delta z(x,y)} \quad [W^{1/2}]$$

- expand $e^{2ik_z \delta z}$ as before; statistical avg of Γ^2

$$\langle |\Gamma|^2 \rangle = I_0 \int dA dA' \phi_{00}(x,y) \phi_{00}^*(x',y') e^{ik_x(x-x')} e^{ik_y(y-y')} \times$$

$$\times \{ 1 - 2k^2 [\delta z^2(x,y) + \delta z^2(x',y') - 2\delta z(x,y)\delta z(x',y')] \} \quad [W]$$

$$\langle |\Gamma|^2 \rangle = [I_0 2\pi\omega^2] [1 - 4k^2 \sigma^2] \left| \int_{\mathbb{R}^2} \phi_{00}(k_x, k_y) \right|^2 \leftarrow \text{direct coupling}$$

$$+ I_0 4k^2 \sigma^2 \int dA dA' \phi_{00}(x,y) \phi_{00}(x',y') e^{ik_x(x-x')} e^{ik_y(y-y')} \tilde{C}(x-x', y-y')$$

$$\phi_{00}(k_x, k_y) = \sqrt{2\pi\omega^2} e^{-\frac{(k_x^2 + k_y^2)\omega^2}{4}}$$

$$k_x^2 + k_y^2 = k^2 \sin^2 \theta = k^2 \theta^2$$

$$|\phi_{00}|^2 = 2\pi\omega^2 e^{-\frac{k^2 \theta^2 \omega^2}{2}}$$

$$\frac{\omega^2 k^2}{2} = \frac{2\pi^2 \omega^2}{\lambda^2} = \frac{2}{\theta_g^2}$$

$$= 2\pi\omega^2 e^{-2\theta^2/\theta_g^2} \rightarrow 0 \text{ for } \theta \gg \theta_g$$

$\theta_g =$ Beam divergence

III

Recombination at a surface

$$\langle |P|^2 \rangle = I_0 4k^2 \sigma^2 \int dA dA' \phi_{00}(x, y) \phi_{00}^*(x', y') C(x-x', y-y') \cdot e^{ik_x(x-x')} e^{ik_y(y-y')}$$

- let $\lambda = x-x'$; $\mu = y-y'$
- invoke convolution theorem & FFT

$$\langle |P|^2 \rangle = I_0 4k^2 \sigma^2 \int \frac{dk_x' dk_y'}{4\pi^2} |\phi_{00}(k_x, k_y)|^2 \tilde{C}(k_x - k_x', k_y - k_y') \quad [W]$$



$$\langle |P|^2 \rangle = I_0 4k^2 \sigma^2 \underbrace{\tilde{C}(k_x, k_y)}_{\text{Wm}^{-2}} \cdot 1 \quad [W]$$

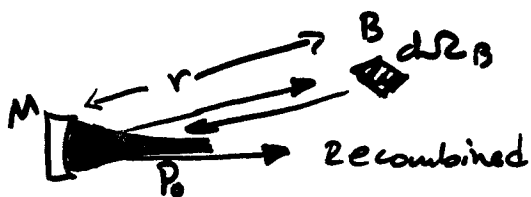
↑ recombined power

From before: $4k^2 \sigma^2 \tilde{C}(k_x, k_y) = \lambda^2 \underbrace{\left[\frac{1}{P} \frac{dP}{dR} \right]}_{\text{BRDF}}$

$\langle |P|^2 \rangle = I_0 \lambda^2 \text{BRDF}(\theta; \phi)$

IV Put it all TOGETHER

• Backscatter



SP at B: $SP_B = P_0 \cdot BRDF_M \cdot d\Omega_B$ Power incident @ patch $d\Omega_B$

Irradiance returned to mirror M:

$$\frac{dP}{dA} \text{ at } M = \frac{dP_M}{dA} = \frac{1}{r^2} \left[\frac{dP_B^{scat}}{d\Omega} \right] = \frac{1}{r^2} SP_B \cdot BRDF_B$$

$$\frac{dSP}{dA} = P_0 \frac{1}{r^2} BRDF_M \cdot BRDF_B \cdot d\Omega_B$$

Power recombined into beam TEM₀₀:

$$SP_{00} = \left[\frac{dSP_M}{dA} \right] \cdot \lambda^2 \cdot BRDF_M \quad [\text{Watts}]$$

$$\boxed{\frac{SP_{00}}{P_{00}} = d\Omega_B \frac{\lambda^2}{r^2} [BRDF_M]^2 BRDF_B}$$

fractional power contribution
← per patch $d\Omega$

- Combining many patches → integration of contribution to $\hat{h}(f)^2$ weighted by $\frac{SP}{P} \Rightarrow \delta h^2 = \frac{SP}{P} \frac{1}{|d\phi/dh|^2} \delta \phi^2$
- back scatter is incoherent - many paths with differences of many wavelengths.
 - rough surfaces
 - different distances, angles
 - different times

$$\delta \hat{h}(f)^2 = \frac{1}{\left(\frac{1}{N_0} \left| \frac{d\phi}{dh} \right| \right)^2} \frac{\lambda^2}{r^2} (BRDF_M)^2 (BRDF_B) \hat{\Sigma}_{\sin\phi}^2(f) \delta \Omega$$

$$\delta \hat{h}(f, r)^2 \approx \frac{1}{2} \left[\frac{\lambda^2}{4\pi r L} \right]^2 (BRDF_M)^2 (BRDF_B) \{ 4k^2 \epsilon_{PT11}^2(f) \} d\Omega_B$$

$$\boxed{h \sim BRDF_M \cdot \sqrt{BRDF_B} \cdot \epsilon_{11}}$$

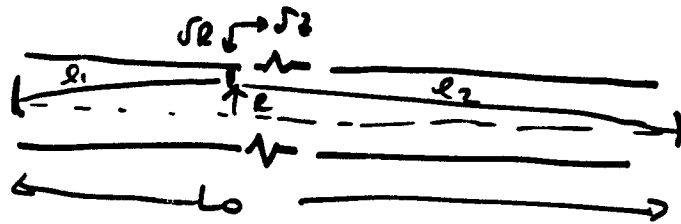
↑
Calculate from measurement

↑
measure

↑
measure

V PUT IT ALL TOGETHER

• DIFFRACTION (FORWARD) SCATTERING



- Diffraction must be dealt with as a coherent process - add amplitudes:

$$\tilde{h} = \sum \frac{\delta y}{\psi} \delta h$$

- Fresnel Zones in mid tide ($L_0/2 = 2\text{km}$) are macroscopic:

1st zone: λ (axial)

$$\Delta z = \delta l(\delta z, \delta R) = l_1(z + \delta z, R + \delta R) + l_2(z - \delta z, R + \delta R) - [l_1(z, R) + l_2(z, R)]$$

$$\Rightarrow \Delta z = \left[\frac{\lambda L_0^3}{8 R^2} \right]^{1/2} = \underline{172\text{m}} \quad 4\text{km IFO (!)}$$

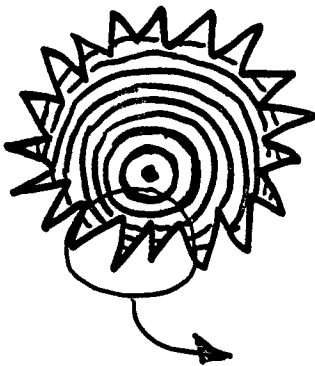
$$= \underline{61\text{m}} \quad 2\text{km IFO (!)}$$

(radial)

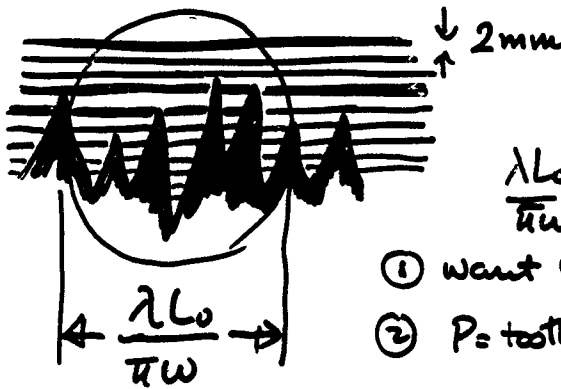
$$\Rightarrow \Delta R = \frac{\lambda L_0}{4R} = \begin{matrix} 2\text{mm} & 4\text{km IFO} \\ 1\text{mm} & 2\text{km IFO} \end{matrix}$$

Groups of baffles may contribute coherently

- Want serrated baffles to reduce coherence effects.



• Serrations cut across Fresnel zones



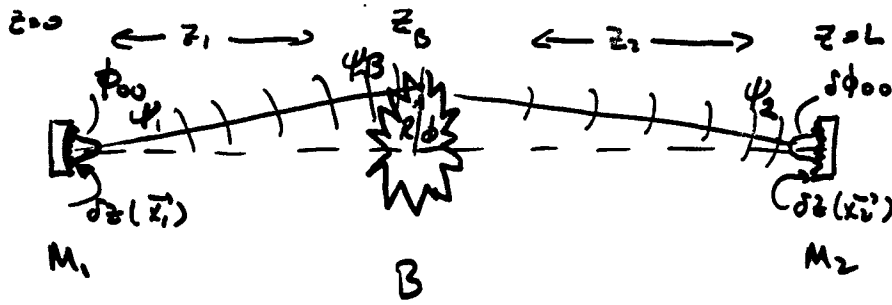
$$\frac{\lambda L_0}{\pi w} \approx 2\text{cm} @ 2\text{km}$$

① want $\sigma_{\text{PI}} \approx 2\text{mm}$

② $P = \text{tooth period} \approx \frac{2\text{cm}}{2} = 1\text{cm}$

Resolution element
of a LIGO optic

V • DIFFRACTION - COHERENT CONTRIBUTION



① Surface errors \$\delta z(x_1, y_1)\$ on \$M_1\$ scatter light out of cavity mode \$\phi_{00}\$ towards baffle \$B\$

$$\psi_1(z=0) = \sqrt{\epsilon} \phi_{00}(x_1, y_1) e^{2ik\delta z(x_1, y_1)}$$

② Propagation of \$\psi_1\$ from \$z=0\$ to \$z=z_0\$ results in incident wave at baffle, \$\psi_B\$:

Fresnel Kirchhoff scalar diffraction } \$\Rightarrow \psi_B = \frac{-ik}{2\pi} \frac{e^{ikz_1}}{z_1} \int dA_1 \psi_1(x_1, y_1) e^{i\frac{k}{2z_1} [(x_1-x_B)^2 + (y_1-y_B)^2]}

③ Baffle diffracts light towards \$M_2\$. Light incident on \$M_2\$ is obtained by another application of Fresnel-Kirchhoff integral:

$$\psi_2 = \frac{-ik}{2\pi} \frac{e^{ikz_2}}{z_2} \int dA_B \psi_B(x_B, y_B) e^{i\frac{k}{2z_2} [(x_2-x_B)^2 + (y_2-y_B)^2]}$$

\$\Rightarrow\$ We are interested in fluctuating part due to baffle motion.



$$R(\phi, t) = R_0 + \xi(\phi, t)$$

$$\delta\psi_2 = \psi(R_0 + \xi) - \psi(R_0) \Rightarrow \int \underbrace{rd\phi}_{\text{entire aperture}} \rightarrow R_0 \int \underbrace{\xi(\phi)}_{\text{(small) area which changes with time}} d\phi$$

$$\delta\psi_2 = \frac{-ik}{2\pi} \frac{e^{ikz_2}}{z_2} \int R_0 \xi d\phi \psi_B(x_B, y_B) e^{i\frac{k}{2z_2} [(x_2-x_B)^2 + (y_2-y_B)^2]}$$

④ Surface errors at \$M_2\$ rescatter light into cavity mode, \$\delta\phi_{00}\$

$$\delta\phi_{00} = \int dA_2 \delta\psi_2(x_2, y_2) e^{2ik\delta z(x_2, y_2)} \phi_{00}(x_2, y_2)$$

V - DIFFRACTION

$$\frac{\delta\phi_{00}}{\sqrt{I_0}} = \frac{k^4}{\pi^2} \frac{e^{i k L}}{z(L-z)} \left[\int R_0 \xi d\phi + \left\{ \int dA_1 \delta z(x_1, y_1) \phi_{00}(x_1, y_1) e^{i \frac{k}{2z} ((x_1-x_0)^2 + (y_1-y_0)^2)} + \int dA_2 \delta z(x_2, y_2) \phi_{00}(x_2, y_2) e^{i \frac{k}{2z} ((x_2-x_0)^2 + (y_2-y_0)^2)} \right\} \right]$$

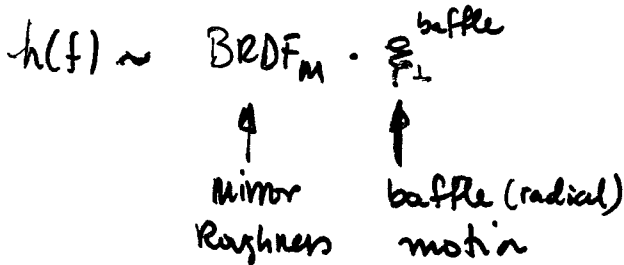
$$\Gamma = \sqrt{\text{BRDF}(\theta)} e^{i \gamma_1(\vec{x}_0)}$$

$$\frac{\delta\phi_{00}}{\sqrt{I_0}} = \frac{k^4}{\pi^2} \frac{e^{i k L}}{z(L-z)} \text{BRDF}(\theta) \int R_0 \xi e^{i \gamma_1(\vec{x}_0)} e^{i \gamma_2(\vec{x}_0)} d\phi$$

- contains details:
- mirror surfaces
 - baffle edges

$$h(f) = \frac{1}{2hL} \sum_{\text{mirrors}}^m \sum_{\text{baffles}}^n \frac{\delta\phi_{00}(m,n)}{\sqrt{I_0}}$$

$$h(f) = \frac{1}{2hL} \frac{k^4}{\pi^2} \sum_{\text{mirrors}}^m \sum_{\text{baffles}}^n \left\{ \frac{\text{BRDF}(\theta_n)}{z_n(L-z_n)} \int R \xi_n e^{i \gamma_{m_1}(\vec{r}_n)} e^{i \gamma_{m_2}(\vec{r}_n)} \right\}$$



- Independent of baffle optical (backscatter, reflective) properties

VI. What does LIGO Need?

Considerations:

- baffles cannot (easily) be replaced
⇒ need to plan for advanced instruments

- Whittling noise sources will be:

(1) - Gravity gradients (Rayleigh waves, atmosphere)

$$f < 10 \text{ Hz}$$

(2) - Internal thermal noise

$$- h \sim \sqrt{\frac{8 \hbar^3}{m(2\pi f L)^2}} \sim 4 \times 10^{-24} \left[\frac{10}{f} \right] \text{ } \frac{1}{\sqrt{\text{Hz}}} \\ m = 1000 \text{ kg}$$

- $m = 1000 \text{ kg}$ deemed likely to

be heaviest T.M. conceivable - ...

- $f \lesssim 200 \text{ Hz}$

(3) - Residual gas noise $\sim 2 \times 10^{-25} \text{ } \frac{1}{\sqrt{\text{Hz}}}$
(10^9 torr, Hz) $f \lesssim 10^4 \text{ Hz}$

∴ Design baffles to be contributing less than
(2) in mid-range

∴ want 10x safety margin → calculational uncertainties

Above is for stationary noise ⇒ Baffles cannot
contribute significantly to non-Gaussian noise ($< 1/\text{hr}$
Singlet rate)

⇒ Baffles must not shed particles

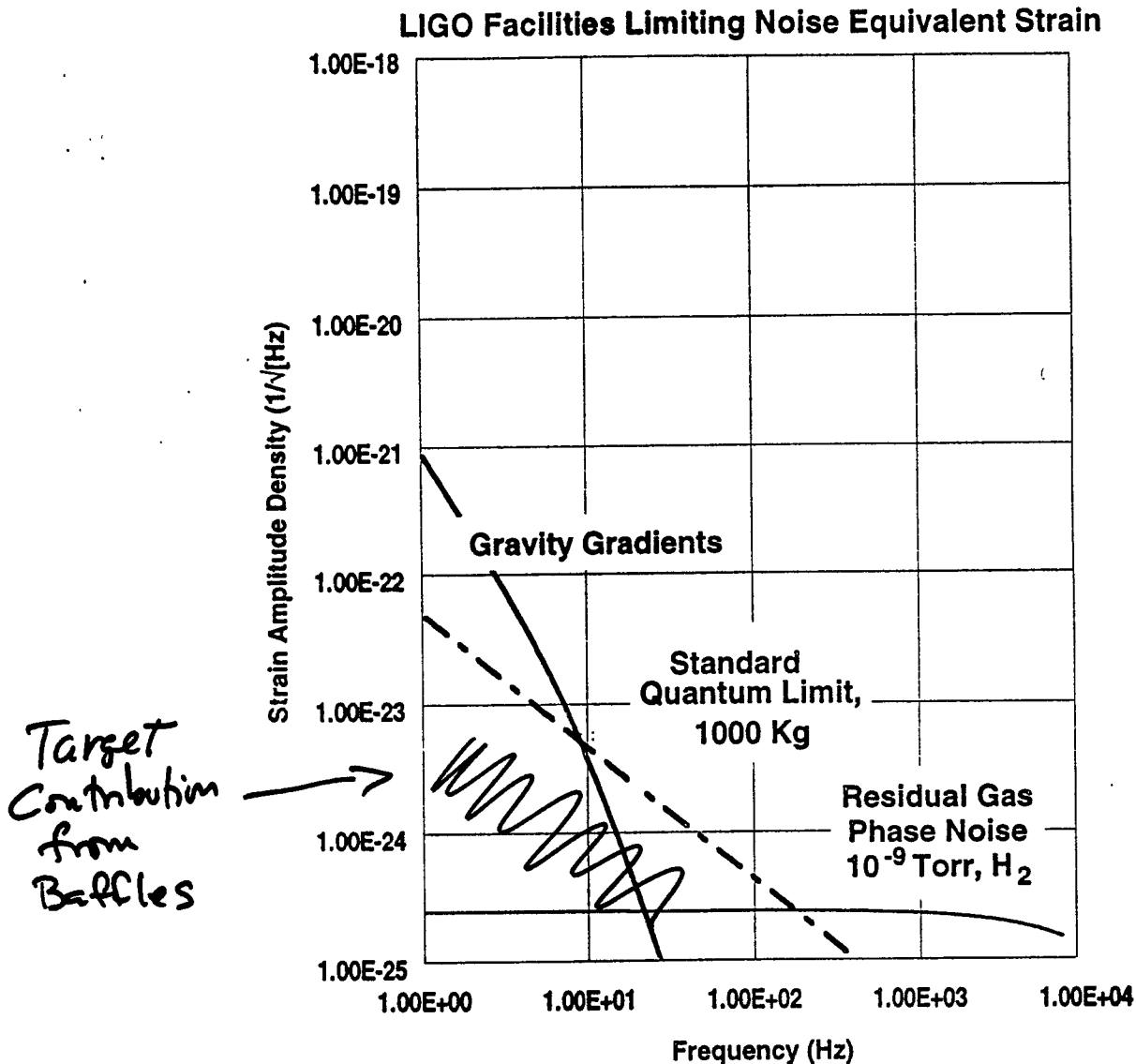
VII • What (do we estimate.) we have (today):

- Baseline goal (1995)
 - glazed material (assumed non shedding) $\sim 10^3/sr$
 - L.S. spectrum for seismic, amplified up to 30x by FEA TCF prediction for ground \rightarrow BT motions.
 - mirrors no better than AXAF test flat
 $BROF \sim 10^{-6}/\theta^2$
- Revised estimate (1997)
 - oxidized 304 SS (Bright Anneal) baffles (non shedding) $\sim 4.8 \times 10^6$
 - Measured BT wall motion for partially completed XARM @ Hanford
 - Initial analysis missed biggest excitation mechanism acoustics (wind)
 - motions up to 10x greater than 1995 model can occur at high f ($\geq 200 Hz$)
 - lower fraction consistent with expected values
 - Deduced BROF for LIGO mirrors from Pathfinder experimental results
 $BROF \sim 10^{-8}/\theta^{3.1}$
 - $\sim 20x$ less scatter than 1995 values
- Net change is neutral:

$\sqrt{BROF_B}$	\uparrow	2-3
X_{BT}	\uparrow	10x
$BROF_M$	\downarrow	20x

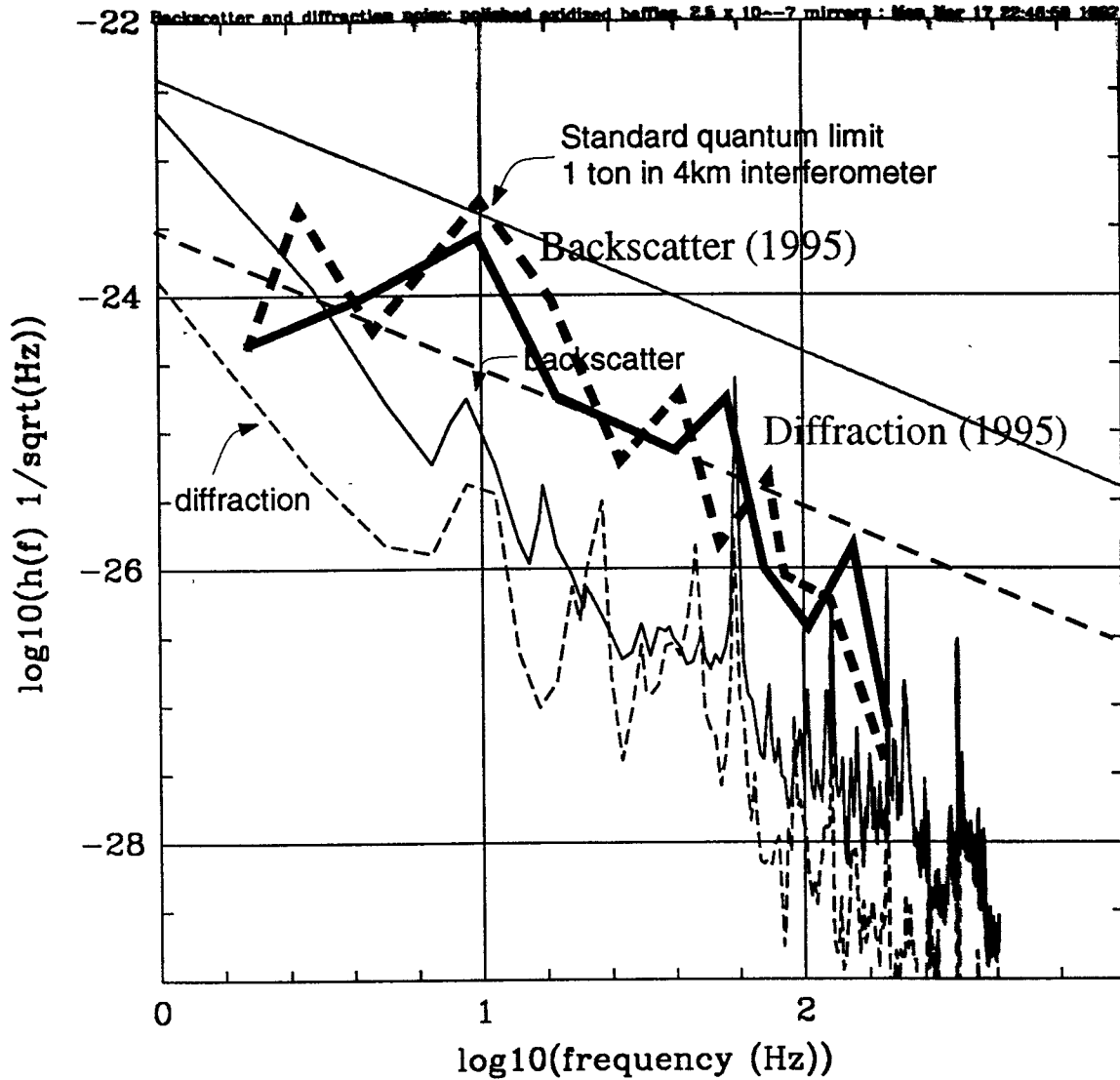
goal for the facilities is to not increase the naturally occurring environmental perturbations, such as mechanical vibrations, acoustic noise, electromagnetic fields and gravitational gradients, by more than a factor of two in the gravitational wave detection band.

Figure 3-4: Limiting interferometer performance attributable to the facilities



The ultimate LIGO vacuum levels are derived from the need to maintain optical phase noise due to fluctuations in the residual gas column density in the beam tubes and vacuum chambers at a level at or below an equivalent strain noise of $2 \times 10^{-25} \text{ Hz}^{-1/2}$. This is expected to be the limiting noise source at the highest frequencies ($100 \text{ Hz} < f < 1 \text{ kHz}$).

The clear aperture of the beam tubes and vacuum chambers is in part determined by the requirement to maintain optical phase noise produced by scattered light to an acceptable level. The stray light requirement must be satisfied between 0.5 to 1.1 micron wavelengths, which is the range of



Baffle backscatter BRDF = $4.8 \times 10^{-3} \text{ sr}^{-1}$ Polished oxidized baffles

Mirror scattering BRDF = $\frac{2.5 \times 10^{-7}}{\theta^2}$ Super polished GO mirrors

Longitudinal spectrum 1/30/97 used for backscatter modulation

Horizontal spectrum 1/30/97 used for diffraction modulation